# CS 4803 / 7643: Deep Learning

Topics:

- Unsupervised Learning
- Generative Models (PixelRNNs, VAEs) \

Dhruv Batra Georgia Tech

- HW4 Grades Released
	- Regrade requests close: 11/09, 11:59pm
- Grade histogram: 7643
	- Max possible: 38.5 (regular credit) + 6.5 (extra credit)



(C) Dhruv Batra 2

- HW3 Grades Released
	- Regrade requests close: 11/09, 11:59pm
- Grade histogram: 4803
	- Max possible: 34.5 (regular) + 10.5 (extra credit)



- Project submission instructions
	- Due: 11/24, 11:59pm
	- Last deliverable in the class
	- Can't use late days
	- https://www.cc.gatech.edu/classes/AY2021/cs7643\_fall/

- Guest Lecture: Emily Denton (Google AI)
	- Next class (11/10)
	- Ethics in AI



https://cephaloponderer.com/

### **Overview**

- Unsupervised Learning
- Generative Models
	- o PixelRNN and PixelCNN
	- Variational Autoencoders (VAE)
	- Generative Adversarial Networks (GAN)

## Supervised vs Reinforcement vs Unsupervised Learning

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

## **Supervised vs Reinforcement vs Unsuperv** Learning

### **Supervised Learning**

**Data**: (x, y) x is data, y is label

**Goal**: Learn a *function* to map  $x \rightarrow y$ 

**Examples**: Classification, regression, object detection, semantic segmentation, image captioning, etc.



**Classification** 

### Supervised vs Unsupervised Learning

**Supervised Learning**

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**GRASS**, **CAT**, **TREE**, **SKY**

Semantic Segmentation

## Supervised vs Unsupervised Learning

#### **Supervised Learning**

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A cat sitting on a suitcase on the fi

Image captioning

Ca **Ima** 

## **Supervised vs Reinforcement vs Unsupervised** Learning

#### **Reinforcement Learning**

**Given**: (e, r) Environment e, Reward function r (evaluative feedback)

**Goal**: Maximize expected reward

**Examples**: Robotic control, video games, board games, etc.

 $\pi$ :

 $\mathcal{L}_t$ 







## **Supervised vs Reinforcement vs Unsupervised** Learning

**Unsupervised Learning**

**Data**: x Just data, no labels!

**Goal**: Learn some underlying hidden *structure* of the data

**Examples**: Clustering, dimensionality reduction, feature learning, density estimation, etc.

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K-means clustering

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**Principal Component Ara** (Dimensionality reduct

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 $P(x_i | x_j, -x_{i+n})$ 



### Unsupervised Learning



## **Supervised vs Reinforcement vs Unsupervised** Learning

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#### **Supervised Learning**

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## **Supervised vs Reinforcement vs Unsupervised** Learning

#### **Unsupervised Learning**

**Data**: x Just data, no labels! Training data is cheap

**Goal**: Learn some underlying hidden *structure* of the data

**Examples**: Clustering, dimensionality reduction, feature learning, density estimation, etc.

#### **Supervised Learning**

**Data**: (x, y) unsupervised learning x is data, y is label Holy grail: Solve => understand structure of visual world

**Goal**: Learn a *function* to map  $x \rightarrow y$ 

**Examples**: Classification, regression, object detection, semantic segmentation, image captioning, etc.

## Supervised vs Reinforcement vs Unsuperv Learning

### **Unsupervised Learning**

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K-means clustering

# Some Data



1.  $\int$  Ask user how many clusters they'd like. *(e.g. k=5)* 



- 1. Ask user how many clusters they'd like. *(e.g. k=5)*
- 2. **Randomly guess k** cluster Center locations



- 1. Ask user how many clusters they'd like. *(e.g. k=5)*
- 2. Randomly guess k cluster Center locations
- 3. Each datapoint finds out which Center it's closest to. (Thus each Center "owns" a set of datapoints)



- 1. Ask user how many clusters they'd like. *(e.g. k=5)*
- 2. Randomly guess k cluster Center locations
- 3. Each datapoint finds out which Center it's closest to.
	- 4. **Each Center finds** the centroid of the points it owns



- 1. Ask user how many clusters they'd like. *(e.g. k=5)*
- 2. Randomly guess k cluster Center locations
- 3. Each datapoint finds out which Center it's closest to.
	- 4. Each Center finds the centroid of the points it owns…
	- 5. …and jumps there

6. …Repeat until (C) Dhruv Bater minated! Slide Credit: Carlos Guestrin 25



- Randomly initialize *k* centers  $-\mu^{(0)} = \mu_1^{(0)}, \ldots, \mu_k^{(0)}$
- **Assign**:
	- Assign each point  $i \in \{1,...n\}$  to nearest center:

$$
-\underbrace{C(i)}_{j}\leftarrow \underbrace{\arg\!\min_{j}||\mathbf{x}_{i}-\boldsymbol{\mu}_{j}||^{2}}_{\text{max}}\underbrace{\boldsymbol{\mathcal{A}}(\boldsymbol{\chi}_{i},\boldsymbol{\Psi}\boldsymbol{\mu}_{j})}_{\text{max}}
$$

- **Recenter**:
	- $-\mu_i$  becomes centroid of points assigned to cluster j

- Demo
	- http://stanford.edu/class/ee103/visualizations/kmeans/kmean s.html

# What is K-means optimizing?

- Objective  $F(\mu, \mathbb{C})$  function of centers  $\mu$  and point allocations C:  $(C(i) 64...k)$ 
	- *N*  $-F(\mu, C) = \sum$  $||\textbf{x}_i - \boldsymbol{\mu}_{C(i)}||^2$ *i*=1  $\sqrt{\frac{10}{10}}$ *N k*  $\frac{2}{\mu}$   $\sqrt{\frac{2}{\mu^2}}$  $\sum$ 1-of-k encoding  $F(\mu, a) =$ *i*=1 *j*=1
- wition • Optimal K-means: re cone)  $\frac{\text{min}_{\mu} \text{min}_{a}}{\text{max}_{\mu} \alpha, \frac{\text{min}_{\mu} \overrightarrow{\mu}}{\text{min}_{\mu}}$

## Supervised vs Reinforcement vs Unsuperv

## Learning

#### **Unsupervised Learning**

 $Data(x)$ Just data, no labels!

**Goal**: Learn some underlying hidden *structure* of the data

**Examples**: Clustering, dimensionality reduction, feature learning, density estimation, etc.



#### 1-d density estimation



2-d density estimat

### Generative Models

Given training data, generate new samples from same distribution



Generative Classification vs Discriminative Classification vs Density Estimation

- Generative Classification
	- Model  $p(x, y)$ ; estimate  $p(x|y)$  and  $p(y)$
	- Use Bayes Rule to predict y
	- E.g Naïve Bayes
- Discriminative Classification
	- Estimate p(y|x) directly
	- E.g. Logistic Regression
- **Density Estimation**

– Model  $p(x)$ 



## Generative Models

Given training data, generate new samples from same distribution





Training data  $\sim p_{data}(x)$  Generated samples  $\sim p_{model}(x)$ 

Want to learn  $p_{model}(x)$  similar to  $p_{data}(x)$ 

Addresses density estimation, a core problem in unsupervised learning

#### **Several flavors:**

- Explicit density estimation: explicitly define and solve for  $p_{model}(x)$
- Implicit density estimation: learn model that can sample from  $\overline{p_{model}}(x)$  w/o explicitly defining it

$$
\frac{1}{\sqrt{1-\frac{1}{2}}}
$$

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

## Why Generative Models?

 $P(X)$ 

Realistic samples for artwork, super-resolution, colorization, etc.



- Generative models of time-series data can be used for simulation and  $\mathcal{P}$ planning (reinforcement learning applications!)
- Training generative models can also enable inference of latent representations that can be useful as general features

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Figure copyright and adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.

## Taxonomy of Generative Models



Figure copyright and adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.

# PixelRNN and PixelCNN

## Fully Observable Model



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

## Fully Observable Model

Explicit density model

Use chain rule to decompose likelihood of an image x into product of 1-d distributions:  $\Gamma$  $\mathbf{r}$ 

$$
p(x) = \prod_{i=1}^{n} p(\underbrace{x_i | x_1, ..., x_{i-1}}_{\uparrow})
$$

Likelihood of image x

given all previous pixels

Complex distribution over pixel values => Express using a neural network!

Then maximize likelihood of training data

## Fully Observable Model

Explicit density model

Use chain rule to decompose likelihood of an image x into product of 1-d distributions:

$$
p(x) = \prod_{i=1}^{n} p(x_i | x_1, ..., x_{i-1})
$$
  
\n
$$
\uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow
$$
  
\nLikelihood of  
\n<sub>image x</sub>  
\n<sub>given all previous pixels</sub>  
\n<sub>pixels</sub>  
\n<sub>given all previous pixels</sub>  
\n<sub>given</sub>  
\n<sub>given</sub>  
\n<sub>given</sub>  
\n<sub>g</sub>  
\n<sub>g</sub>  
\n<sub>g</sub>  
\n<sub>g</sub>  
\n<sub>g</sub>  
\n<sub>h</sub>  
\n<sub>h</sub>

Then maximize likelihood of training data

Complex distribution over pixel values => Express using a neural network! **Example: Character-level Language Model**

mox<br>W

Vocabulary:  $[h,e,l,o]$ 

Example training sequence: **"hello"**



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Generate image pixels starting from corner

Dependency on previous pixels modeled using an RNN (LSTM)



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Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

**Example: Character-level Language Model Sampling**

Vocabulary: [h,e,l,o]

At test-time sample characters one at a time, feed back to model



Example: **Example: Example: Example: Example: Parameter**  $e^{ie^{i\theta}}$ **Character-level Language Model Sampling**

Vocabulary: [h,e,l,o]

At test-time sample characters one at a time, feed back to model



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Example:  $\left| \begin{array}{ccc} \text{Example} & \text{Sample} \end{array} \right|$ **Character-level Language Model Sampling**

Vocabulary: [h,e,l,o]

At test-time sample characters one at a time, feed back to model



**Character-level Language Model Sampling**

Vocabulary: [h,e,l,o]

At test-time sample characters one at a time, feed back to model



log  $P(x_{s,c})$ 

 $\chi_{\mathsf{q}\mathsf{o}}'$ 

Generate image pixels starting from corner

Dependency on previous pixels modeled using an RNN (LSTM)

Drawback: sequential generation is slow!

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n



Still generate image pixels starting from corner

Dependency on previous pixels now modeled using a CNN over context region



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Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n



# Masked Convolutions

• Apply masks so that a pixel does not see "future" pixels



Still generate image pixels starting from corner

Dependency on previous pixels now modeled using a CNN over context region

Training: maximize likelihood of training images  $\boldsymbol{r}$ 

$$
p(x) = \prod_{i=1}^{n} p(x_i | x_1, ..., x_{i-1})
$$

#### Softmax loss at each pixel



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Still generate image pixels starting from corner

Dependency on previous pixels now modeled using a CNN over context region

Training is faster than PixelRNN (can parallelize convolutions since context region values known from training images)

Generation must still proceed sequentially => still slow



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### Generation Samples





32x32 CIFAR-10 32x32 ImageNet

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*Figure 1.* Image completions sampled from a PixelRNN.

# Results from generating sound

• https://deepmind.com/blog/wavenet-generativemodel-raw-audio/

## PixelRNN and PixelCNN

#### Pros:

- Can explicitly compute likelihood p(x)
- Explicit likelihood of training data gives good evaluation metric
- Good samples

## Con: Sequential generation => slow

#### Improving PixelCNN performance

- Gated convolutional layers
- Short-cut connections
- Discretized logistic loss
- Multi-scale
- Training tricks
- Etc…

#### See

- Van der Oord et al. NIPS 2016
- Salimans et al. 2017 (PixelCNN++)