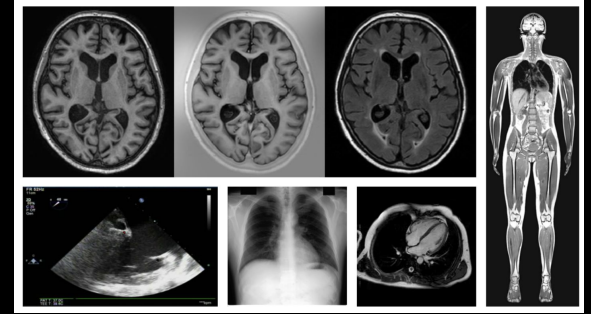
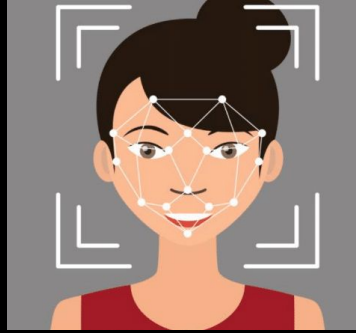
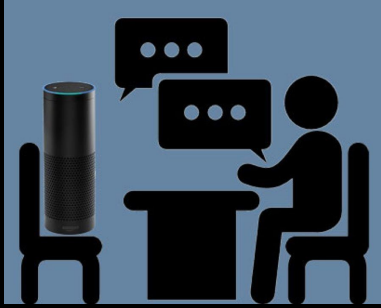


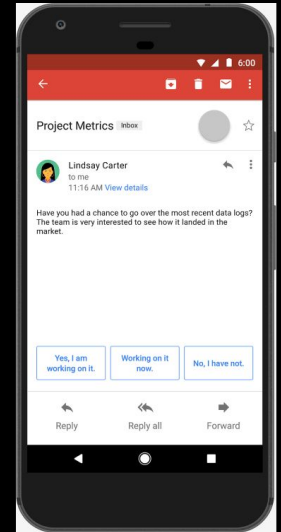
Data, Power, and AI Ethics



Emily Denton
Research Scientist, Google Brain



The man at bat readies to swing at the pitch while the umpire looks on.



“The potential of AI”

“Imagine for a moment that you’re in an office, hard at work.

But it’s **no ordinary office**. By observing cues like your posture, tone of voice, and breathing patterns, it can **sense your mood and tailor the lighting and sound accordingly**. Through gradual ambient shifts, the space around you **can take the edge off when you’re stressed, or boost your creativity when you hit a lull**. Imagine further that you’re a designer, using tools with equally perceptive abilities: at each step in the process, they riff on your ideas based on their knowledge of your own creative persona, contrasted with features from the best work of others.”

“The potential of AI”

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Potential for who?

[Landay (2019). “Smart Interfaces for Human-Centered AI”]

Another future

“Someday you may have to work in an office where the lights are **carefully programmed and tested by your employer to hack your body’s** natural production of melatonin through the use of blue light, eking out every drop of energy you have while you’re on the clock, leaving you physically and emotionally drained when you leave work. Your eye movements may someday come under the **scrutiny of algorithms** unknown to you that **classifies you on dimensions such as “narcissism” and “psychopathy”, determining your career and indeed your life prospects.**”

Outline

Part I: Algorithmic (un)fairness

Part II: Data, power, and inequity

Part III: Equitable and accountable AI research

Outline

Part I: Algorithmic (un)fairness

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Patterns of exclusion: Object recognition

Object classification accuracy dependent on geographical location and household income

DeVries et al. (2019). [Does Object Recognition Work for Everyone?](#)



Ground truth: Soap
Nepal, 288 \$ / month

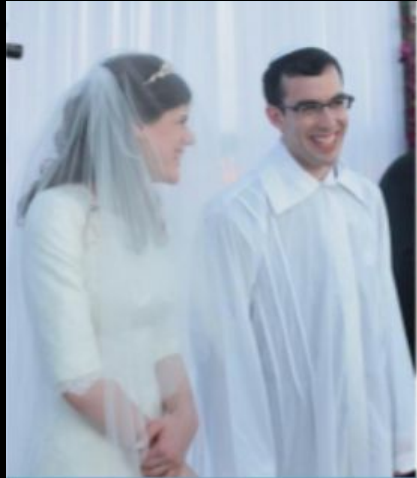
Common machine classifications: food, cheese, food product, dish, cooking



Ground truth: Soap
UK, 1890 \$ / month

Common classification: soap dispenser, toiletry, faucet, lotion

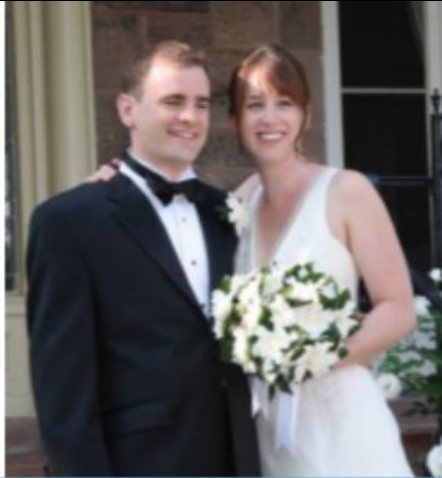
Patterns of exclusion: Image classification



*ceremony,
wedding, bride,
man, groom,
woman, dress*



*bride,
ceremony,
wedding, dress,
woman*



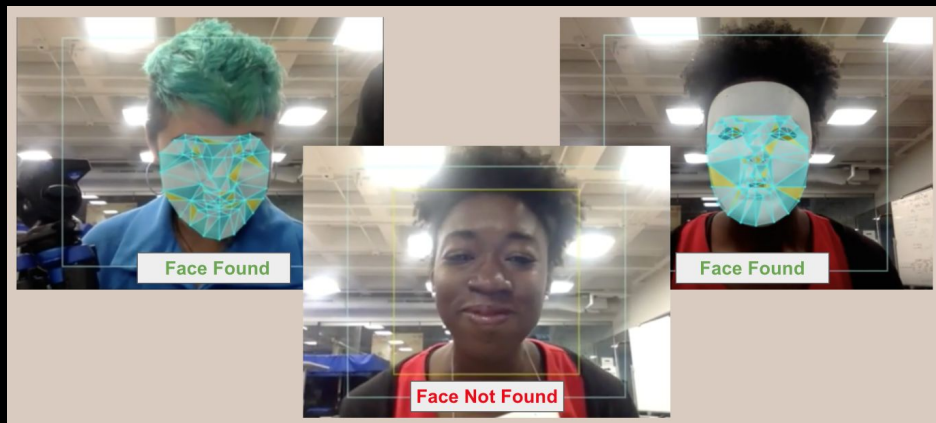
*ceremony,
bride, wedding,
man, groom,
woman, dress*



person, people

[Shankar et al. (2017). [No Classification without Representation: Assessing Geodiversity Issues in Open Data Sets for the Developing World](#)]

Patterns of exclusion: Facial analysis



“Wearing a white mask worked better than using my actual face” -- Joy Buolamwini

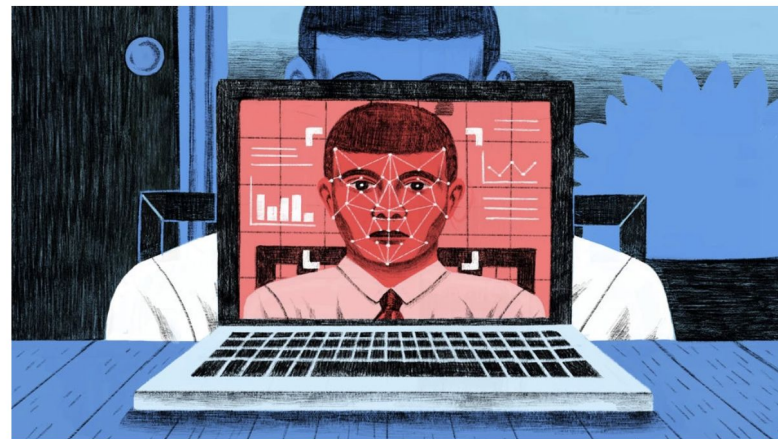
[The Coded Gaze: Unmasking Algorithmic Bias](#)

When the Robot Doesn't See Dark Skin

By Joy Buolamwini

Ms. Buolamwini is the founder of the Algorithmic Justice League.

June 21, 2018



We've seen this before...

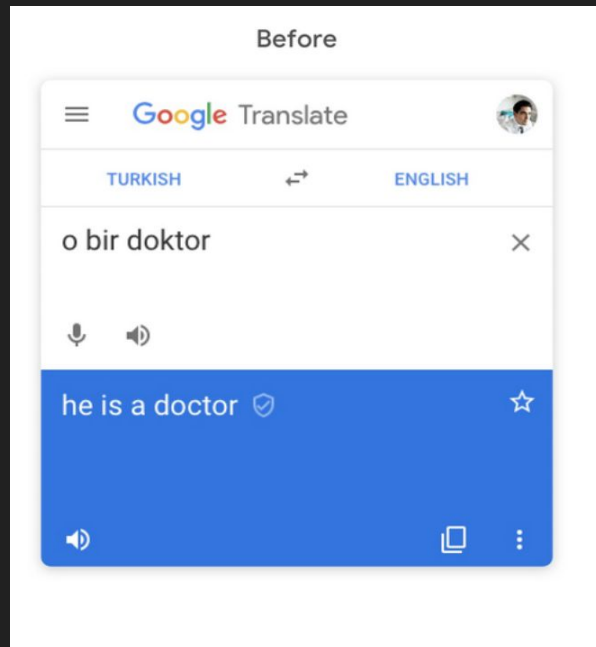
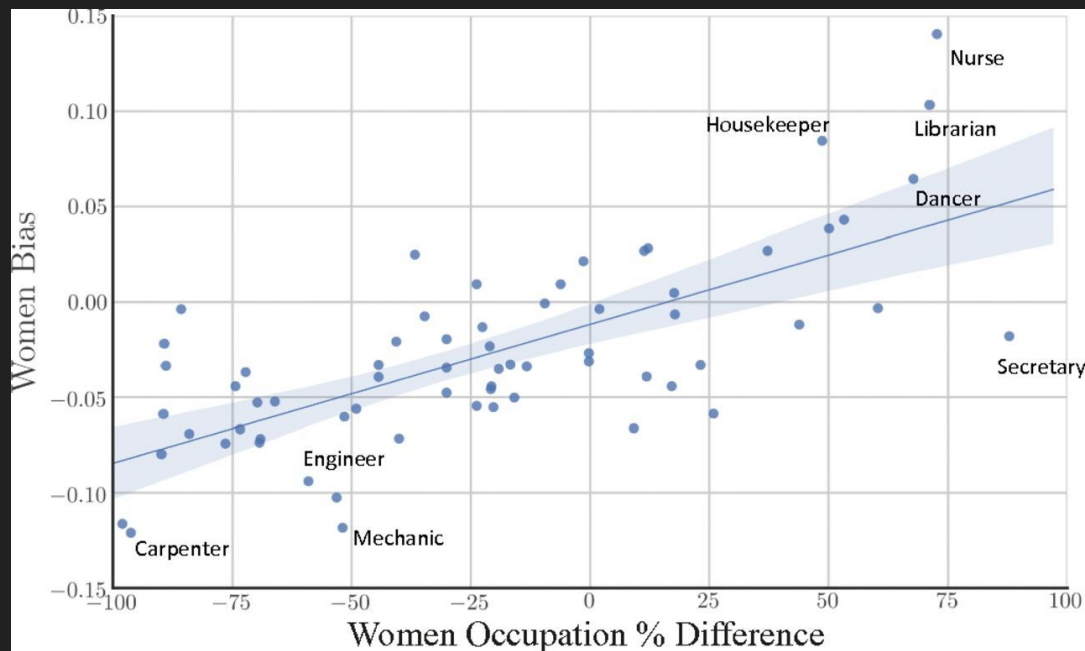
Technology has a long history of encoding whiteness as a default

“Shirley cards” calibrated color film for lighter skin tones



Roth (2009). [Looking at Shirley, the Ultimate Norm: Colour Balance, Image Technologies, and Cognitive Equity](#)
Josh Lovejoy (2018). [Fair Is Not the Default.](#)

Representational harms: Gender stereotypes in language models



Garg et al. (2018). Word embeddings quantify 100 years of gender and ethnic stereotypes

Representational harms: Racial stereotypes in search engines

Ads suggestive of arrest record served for queries of Black-associated names

Sweeney (2013). [Discrimination in Online Ad Delivery](#).

Ads related to latanya farrell ⓘ

Latanya Farrell, Arrested?

www.instantcheckmate.com/

1) Enter Name and State. 2) Access Full Background Checks Instantly.

Latanya Farrell

www.publicrecords.com/

Public Records Found For: **Latanya Farrell**. View Now.

Ads related to Jill Schneider ⓘ

Jill Schneider Art

www.posters2prints.com/

Custom Frame Prints and Canvas. Shop Now, SAVE Big + Free Shipping!

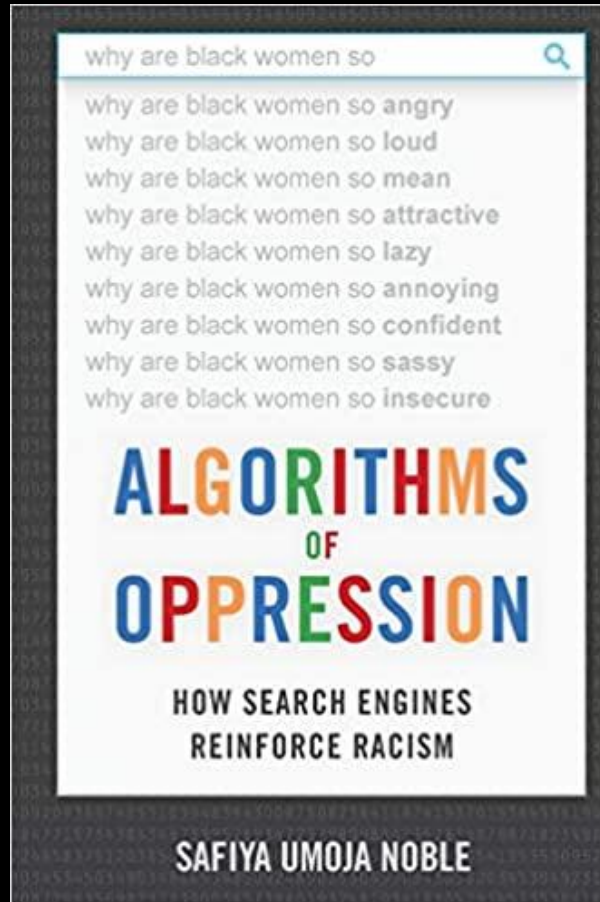
We Found Jill Schneider

www.intelius.com/

Current Phone, Address, Age & More. Instant & Accurate **Jill Schneider**
10,256 people +1'd this page

[Reverse Lookup](#) - [Reverse Cell Phone Directory](#) - [Date Check](#) - [Property Records](#)

Representational harms: Racial stereotypes in search engines



Discrimination in automated decision making tools: Carceral system

Machine Bias

There's software used across the country to predict future criminals. And it's biased against blacks.



	WHITE	AFRICAN AMERICAN
Labeled Higher Risk, But Didn't Re-Offend	23.5%	44.9%
Labeled Lower Risk, Yet Did Re-Offend	47.7%	28.0%

Discrimination in automated decision making tools: Healthcare

Dissecting racial bias in an algorithm used to manage the health of populations

Ziad Obermeyer^{1,2,*}, Brian Powers³, Christine Vogeli⁴, Sendhil Mullainathan^{5,*†}

+ See all authors and affiliations

Science 25 Oct 2019:
Vol. 366, Issue 6464, pp. 447-453
DOI: 10.1126/science.aax2342

NEWS · 24 OCTOBER 2019

Millions of black people affected by racial bias in health-care algorithms

Discrimination in automated decision making tools: Employment

Why Amazon's Automated Hiring Tool Discriminated Against Women



By [Rachel Goodman](#), Staff Attorney, ACLU Racial Justice Program

OCTOBER 12, 2018 | 1:00 PM

BUSINESS NEWS

OCTOBER 9, 2018 / 11:12 PM / A YEAR AGO

Amazon scraps secret AI recruiting tool that showed bias against women

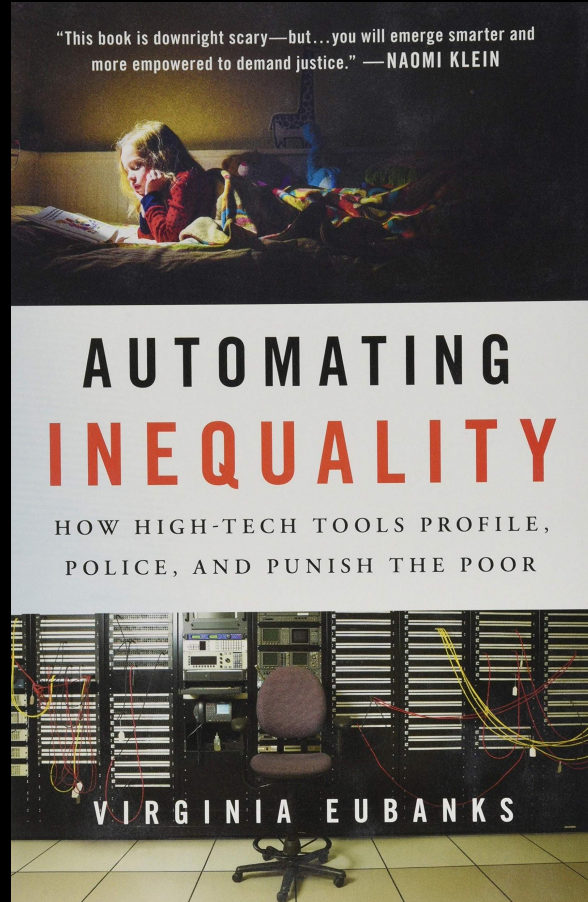
Amazon Created a Hiring Tool Using A.I. It Immediately Started Discriminating Against Women.

By [JORDAN WEISSMANN](#)

OCT 10, 2018 • 4:52 PM



Discrimination in automated decision making tools



AI systems are tools that operate within existing systems of inequality

US ADULTS INDEXED

130 MILLION

One in two American adults is in a law enforcement face recognition network used in **unregulated** searches employing algorithms with **unaudited accuracy**.

The Perpetual Line Up

(Garvie, Bedoya, Frankle 2016)



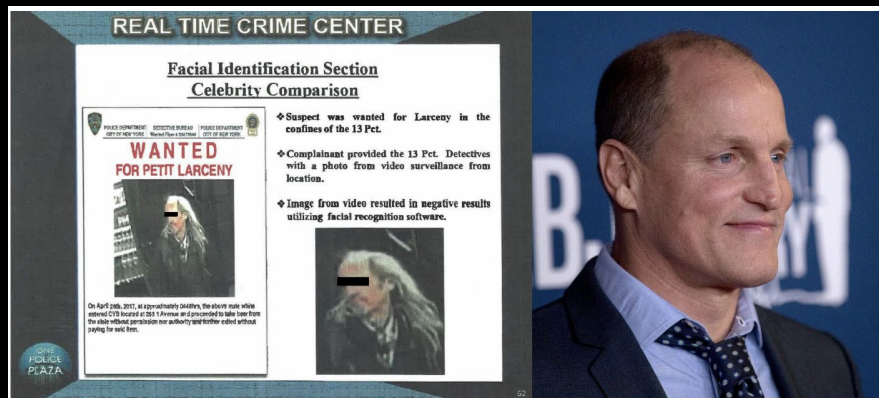
© 2016 Center on Privacy & Technology at Georgetown Law

Facial Recognition is the Plutonium of AI

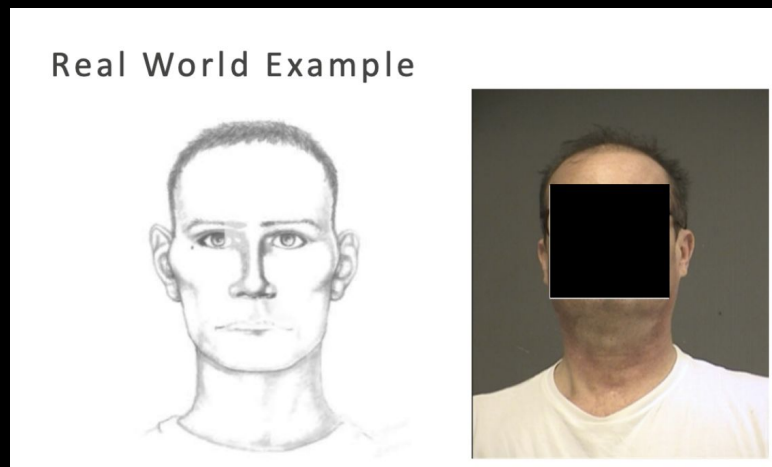
It's dangerous, racializing, and has few legitimate uses; facial recognition needs regulation and control on par with nuclear waste.

By Luke Stark

AI systems are tools that operate within existing systems of inequality



Celebrity faces as probe images



Composite sketches as probe images

[Garvie (2019). [Garbage In, Garbage Out: Face Recognition on Flawed Data](#)]

Outline

Part I: Algorithmic (un)fairness

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Part III: Equitable and accountable AI research

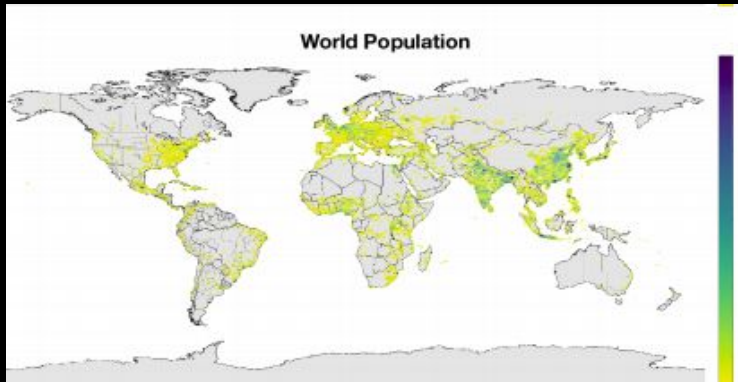
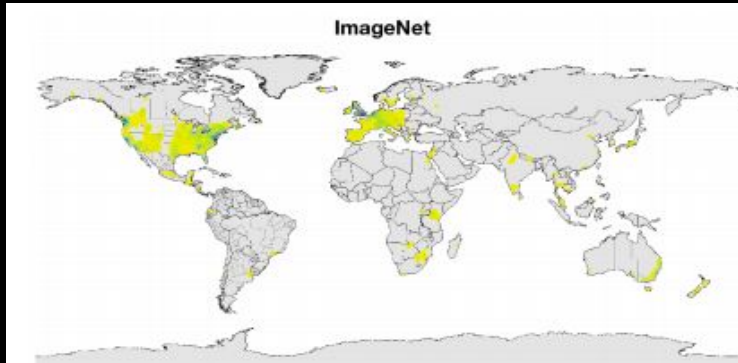
“Every data set involving people implies subjects and objects, those who collect and those who make up the collected. It is imperative to remember that on both sides we have human beings.”

- [Mimi Onuoha \(2016\)](#)

Sampling bias

The selected data is **not representative** of the relevant population

Object recognition datasets



Facial analysis datasets

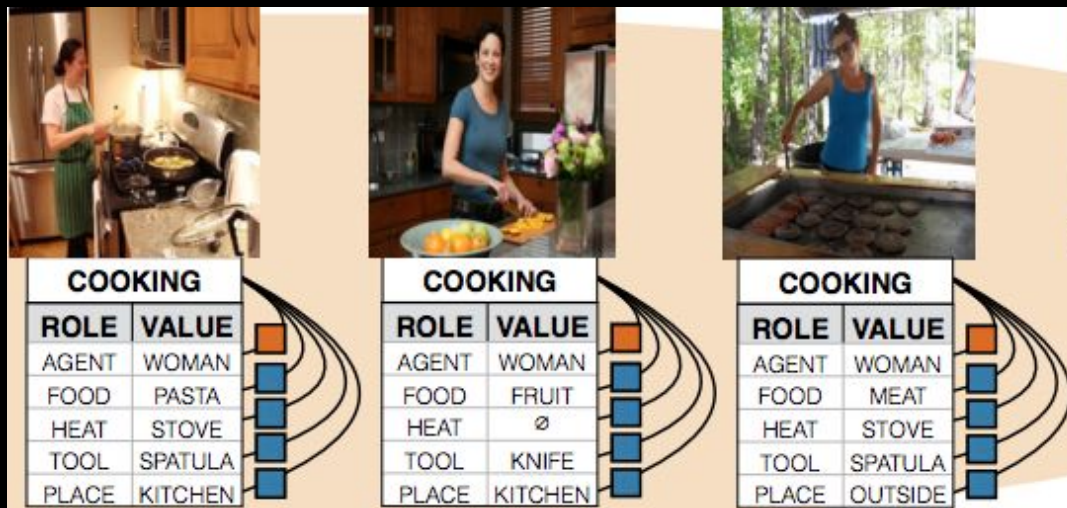
LFW	77.5% male 83.5% white
IJB-A	79.6% lighter-skinned
Adience	86.2% lighter-skinned

Buolamwini & Gebru (2018). [Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification](#)

DeVries et al. (2019). [Does Object Recognition Work for Everyone?](#)

Sampling bias

Approx 50% of verbs in imSitu visual semantic role labeling (vSRL) dataset are extremely biased in the male or female direction



shopping, cooking and washing biased towards women
driving, shooting, and coaching biased towards men

[Zhao et al. (2017) [Men Also Like Shopping: Reducing Gender Bias Amplification using Corpus-level Constraints](#)]

Human reporting bias

The **frequency** with which **people write** about actions, outcomes, or properties is **not a reflection of real-world frequencies** or the degree to which a property is characteristic of a class of individuals.

Reporting bias

World learning from text

Word	Frequency in corpus
“spoke”	11,577,917
“laughed”	3,904,519
“murdered”	2,834,529
“inhaled”	984,613
“breathed”	725,034
“hugged”	610,040
“blinked”	390,692
“was late”	368,922
“exhaled”	168,985
“was punctual”	5,045

Gordon and Van Durme (2013). [Reporting Bias and Knowledge Acquisition](#)

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Gordon and Van Durme (2013). [Reporting Bias and Knowledge Acquisition](#)

Reporting bias

What do you see?

“Bananas”



“**Green** bananas”
“Unripe bananas”



Reporting bias

“Doctor”

Social stereotypes can affect
implicit prototypicality
judgements



“**Female** doctor”



Implicit stereotypes

Unconscious attribution of characteristics, traits and behaviours to members of certain social groups.

Data annotation tasks can activate implicit social stereotypes.

Implicit gender stereotypes

Implicit biases can also affect how people classify images

Filter into a computer vision system through annotations

“Doctor”



“Nurse”



Historical bias

Biases that arise from the world as it was when the data was sampled.

Historical bias

If historical hiring practices favor men, gendered cues in the data will be predictive of a 'successful candidate'

Amazon Created a Hiring Tool Using A.I. It Immediately Started Discriminating Against Women.

By JORDAN WEISSMANN

OCT 10, 2018 • 4:52 PM



~~Historical bias~~

Historical (and ongoing) injustices encoded in datasets

~~Historical bias~~

Historical (and ongoing) injustices encoded in datasets

Systemic racism and sexism is *foundational* all our major institutions

Data is generated through social processes and reflects the social world

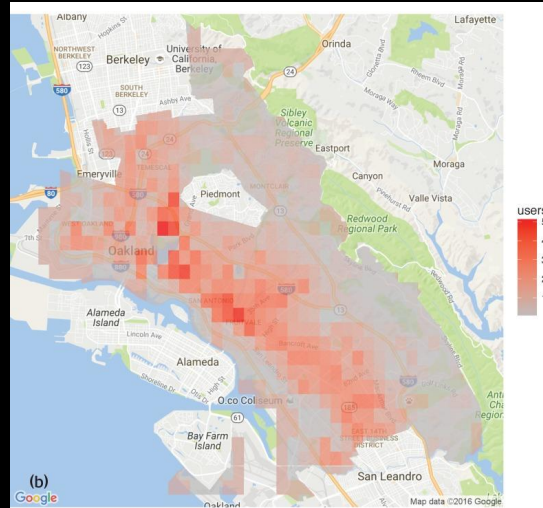
‘Unbiased’ data is a myth that obscures the entanglement between tech development and structural inequality

Policing and surveillance applications

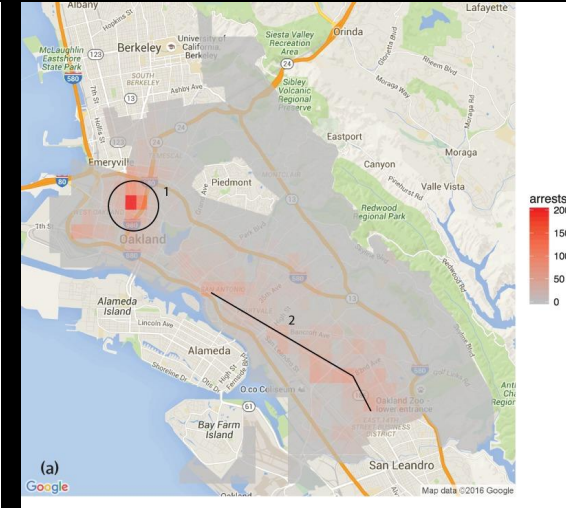
Predictive policing tools predict “crime hotspots” based on policing data that reflects corrupt and racially discriminatory practices of policing and documentation

Lum & Isaac (2016). [To predict and serve?](#)

Richardson et al. (2019). [Dirty Data, Bad Predictions: How Civil Rights Violations Impact Police Data, Predictive Policing Systems, and Justice](#)



Estimated number of drug users, based National Survey on Drug Use and Health



Drug arrests made by Oakland police department

“When bias is routed through technoscience and coded ‘scientific’ and ‘objective’ ... it becomes even more difficult to challenge it and hold individuals and institutions accountable.”

- Ruha Benjamin, *Race After Technology*

Policing and surveillance applications: Who defines 'high risk'?



Clifton et al. (2017). *White Collar Crime Risk Zones*

Healthcare applications

Dissecting racial bias in an algorithm used to manage the health of populations

Ziad Obermeyer^{1,2,*}, Brian Powers³, Christine Vogeli⁴, Sendhil Mullainathan^{5,*†}

+ See all authors and affiliations

Science 25 Oct 2019:
Vol. 366, Issue 6464, pp. 447-453
DOI: 10.1126/science.aax2342

NEWS · 24 OCTOBER 2019

Millions of black people affected by racial bias in health-care algorithms

“New Jim Code”: ‘race neural’ algorithms
that reproduce racial inequality



Datasets construct a particular view of the world -- a view that is often laden with subjective values, judgements, & imperatives

Data is always always socially and culturally situated ([Gitelman, 2013](#); [Elish and boyd, 2017](#))

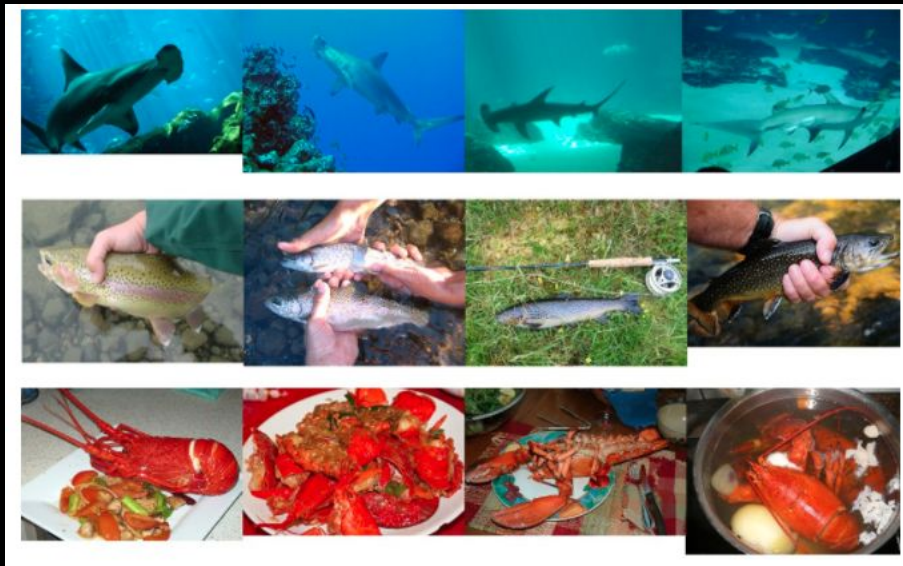
Datasets construct a particular view of the world -- a view that is often laden with subjective values, judgements, & imperatives

This is inescapable

There is no “view from nowhere” ([Haraway, 1991](#))

The view of the world through ImageNet

“To produce a dataset at ‘the scale of the web’ implies to impose a particular way of seeing images, of pointing and naming.” -- [Malevé \(2019\)](#)



Hammerhead shark → Scientific object

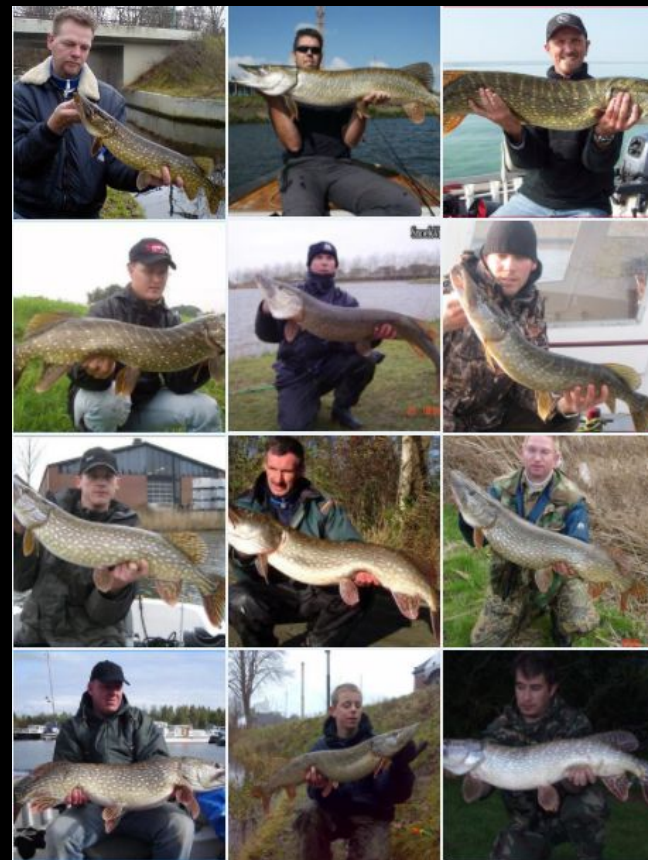
Trout → Dead trophy

Lobster → Food

The view of the world through ImageNet

The women of ImageNet → Bikinis and mini-skirts

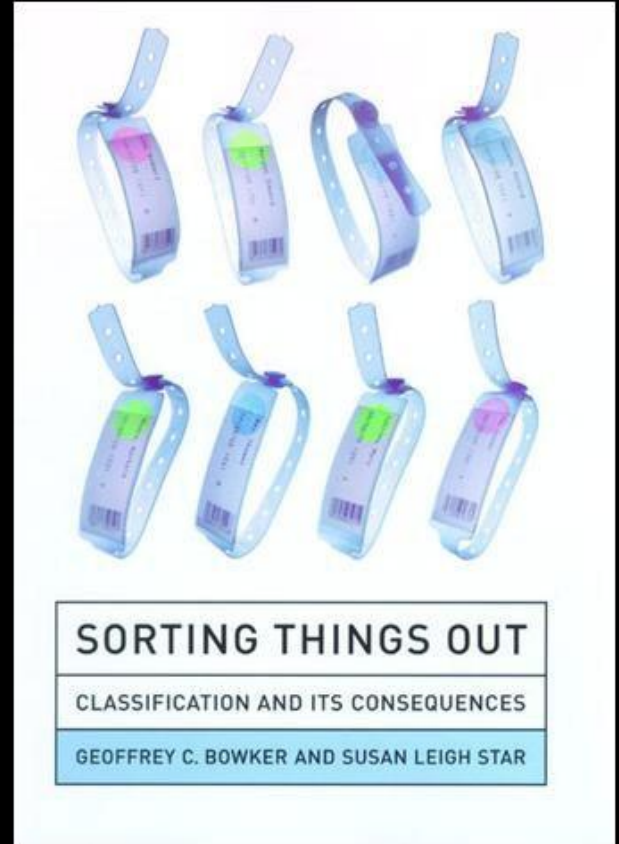
The men of ImageNet → Music, sports, and fishing



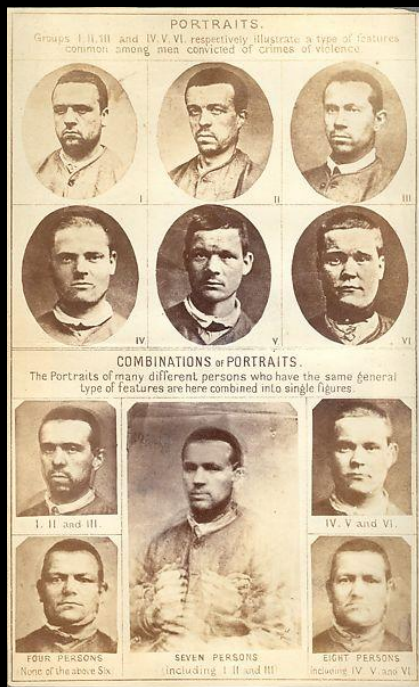
The politics of classification

Classifications within machine learning datasets reflect sociotechnical decisions and embed politics, values, and power imbalances

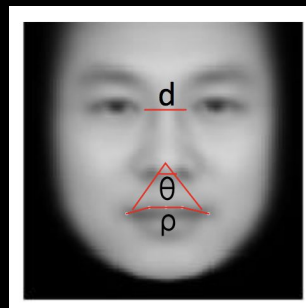
Data-driven doesn't inherently imply empirically grounded and scientific



Technologies of human classification



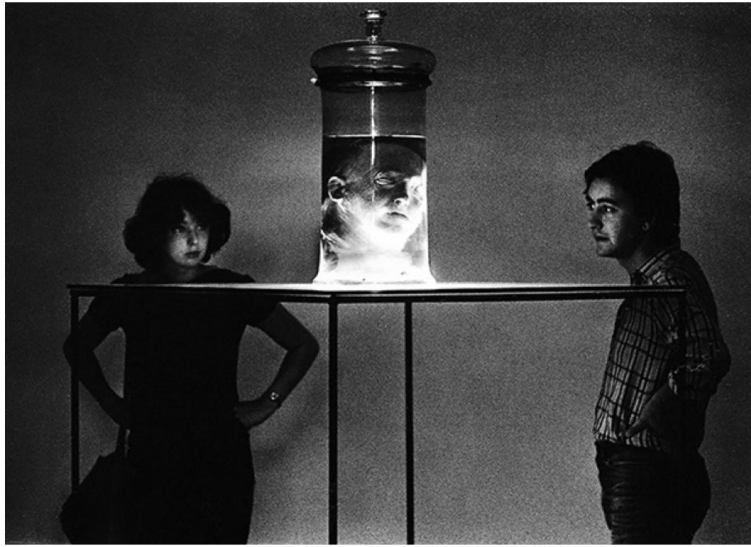
Francis Galton (1877). Composite portraits of human 'types'



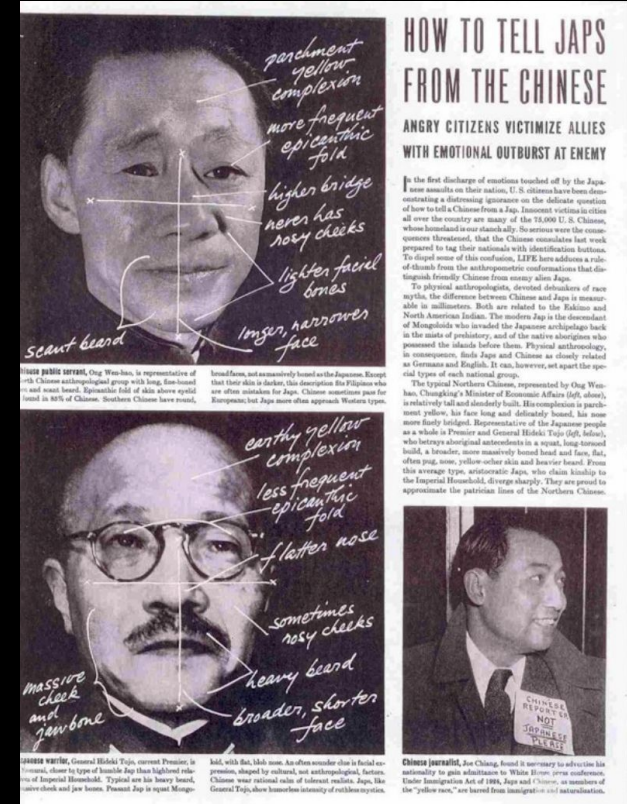
Wu and Zhang (2016). Automated Inference on Criminality using Face Images

Technologies of human classification

Physiognomy's New Clothes



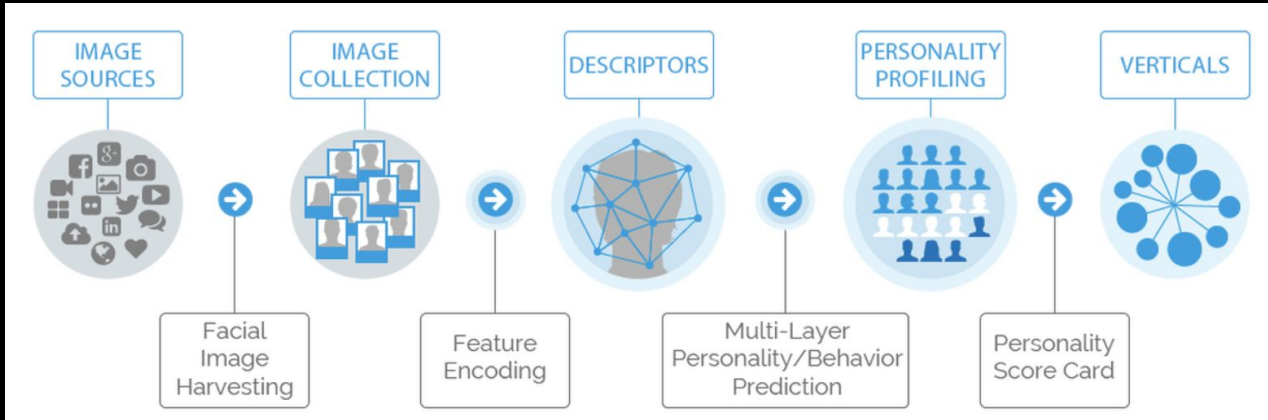
Aguera y Arcas (2017). Physiognomy's New Clothes



Jo & Gebru (2020). Lessons from Archives: Strategies for Collecting Sociocultural Data in Machine Learning

“Facepion is first-to-technology and first-to-market with proprietary computer vision and machine learning technology for **profiling people** and revealing their personality **based only on their facial image.**”

- Facepion startup



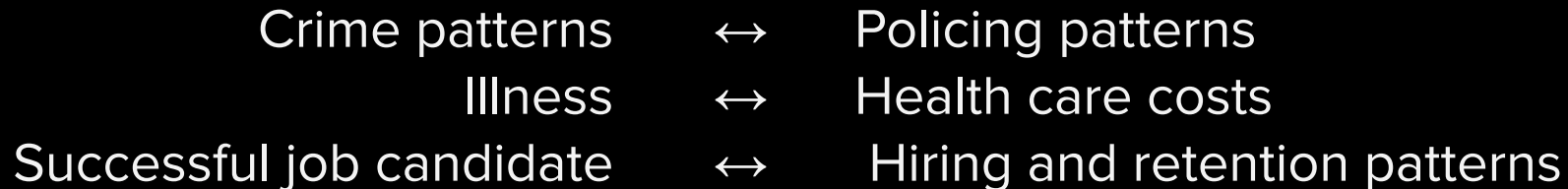
“High IQ”

“White-Collar Offender”

“Terrorist”

Datasets represent specific formulations of a problem

Fairness concerns often stem from decisions about how to operationalize social constructs within a datasets ([Jacobs and Wallach, 2018](#))



Outline

Part I: Algorithmic (un)fairness

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Ethics-informed model testing

Consider **multiple evaluation metrics** - they each provide different information

		Model Predictions	
		Positive $\hat{Y} = 1$	Negative $\hat{Y} = 0$
Target	Positive $Y = 1$	True positives	False negatives
	Negative $Y = 0$	False negatives	True negatives

Ethics-informed model testing

Consider **multiple evaluation metrics** - they each provide different information

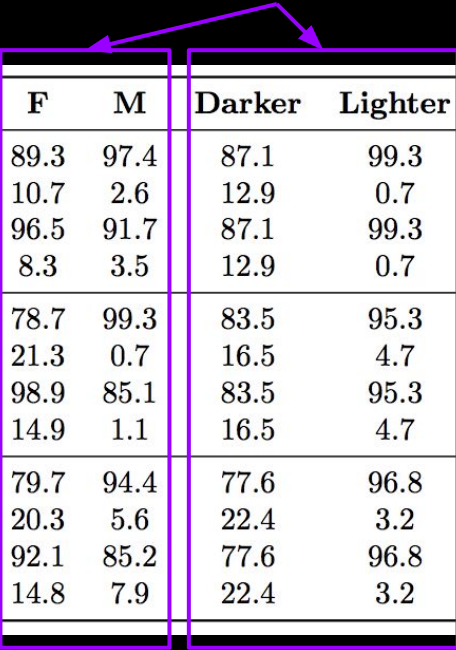
Compute metrics over subgroups defined along cultural, demographic, phenotypical lines

- ❖ How you define groups will be context specific

Evaluate for each (metric, subgroup) pair

Ethics-informed model testing

Unitary groups

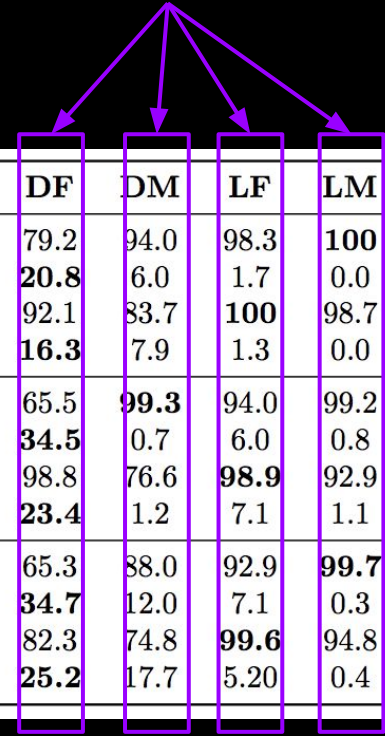


Classifier	Metric	All	F	M	Darker	Lighter	DF	DM	LF	LM
MSFT	PPV(%)	93.7	89.3	97.4	87.1	99.3	79.2	94.0	98.3	100
	Error Rate(%)	6.3	10.7	2.6	12.9	0.7	20.8	6.0	1.7	0.0
	TPR (%)	93.7	96.5	91.7	87.1	99.3	92.1	83.7	100	98.7
	FPR (%)	6.3	8.3	3.5	12.9	0.7	16.3	7.9	1.3	0.0
Face++	PPV(%)	90.0	78.7	99.3	83.5	95.3	65.5	99.3	94.0	99.2
	Error Rate(%)	10.0	21.3	0.7	16.5	4.7	34.5	0.7	6.0	0.8
	TPR (%)	90.0	98.9	85.1	83.5	95.3	98.8	76.6	98.9	92.9
	FPR (%)	10.0	14.9	1.1	16.5	4.7	23.4	1.2	7.1	1.1
IBM	PPV(%)	87.9	79.7	94.4	77.6	96.8	65.3	88.0	92.9	99.7
	Error Rate(%)	12.1	20.3	5.6	22.4	3.2	34.7	12.0	7.1	0.3
	TPR (%)	87.9	92.1	85.2	77.6	96.8	82.3	74.8	99.6	94.8
	FPR (%)	12.1	14.8	7.9	22.4	3.2	25.2	17.7	5.20	0.4

[Buolamwini and Gebru, 2018. [Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification](#)]

Ethics-informed model testing

Intersectional groups



Classifier	Metric	All	F	M	Darker	Lighter	DF	DM	LF	LM
MSFT	PPV(%)	93.7	89.3	97.4	87.1	99.3	79.2	94.0	98.3	100
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	FPR (%)	12.1	14.8	7.9	22.4	3.2	25.2	17.7	5.20	0.4

[Buolamwini and Gebru, 2018. [Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification](#)]

Model and data transparency

Standardized framework for transparent dataset documentation

Dataset creators:

Reflect on on process of creation, distribution, and maintenance
Making explicit any underlying assumptions
Outline potential risks or harms, and implications of use

Dataset consumers:

Provide information to facilitate informed decision making

The image shows a 'Dataset Fact Sheet' for 'Open Images Extended - Crowdsourced'. The sheet is organized into several sections:

- Metadata:** Includes fields for Title, Author (Google), Email, Description, DOI, Time, Keywords, Record, and Variables.
- Probabilistic Modeling:** A section for datasets used in probabilistic modeling, with a sub-section for 'Datasheets for Datasets'.
- Motivation for Dataset Creation:** Contains questions like 'Why was the dataset created?', 'What (other) tasks could the dataset be used for?', 'Has the dataset been used for any tasks already?', and 'Who funded the creation of the dataset?'.
- Data Collection Process:** Contains questions like 'How was the data collected?', 'Who was involved in the data collection process?', 'Over what time-frame was the data collected?', and 'How was the data associated with each instance acquired?'.
- Dataset Composition:** Contains questions like 'What are the instances?', 'Are relationships between instances made explicit in the data?', and 'How many instances of each type are there?'.

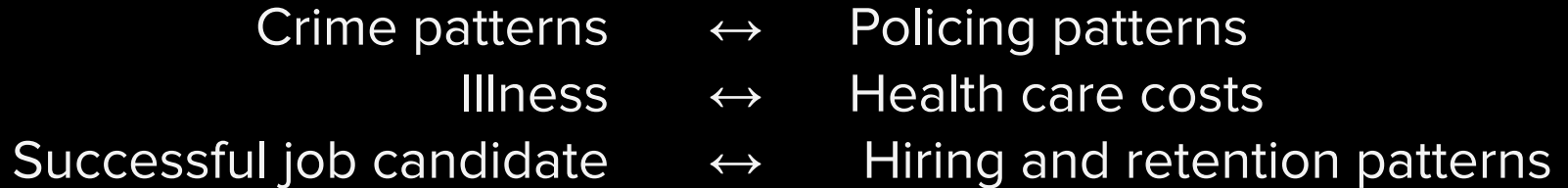
Timnit, et al. (2018). [Datasheets for datasets](#)

Holland et al. (2018). [The Dataset Nutrition Label: A Framework To Drive Higher Data Quality Standards](#)

Bender and Friedman (2018). [Data Statements for NLP: Toward Mitigating System Bias and Enabling Better Science](#)

Measurement and construct validity

Fairness concerns often stem from decisions about how to operationalize social constructs within a datasets ([Jacobs and Wallach, 2018](#))



As a field, we need to rethink how we develop and use datasets

Currently:

- Data decisions go heavily undocumented ([Geiger et al. 2020](#); [Scheuerman et al. 2020](#))

As a field, we need to rethink how we develop and use datasets

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- Ground truth often presumed to be fact ([Aroyo & Welty, 2015](#); [Muller et al. 2019](#))

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Currently:

- Data work is heavily undervalued, relative to model work
 - NLP dataset publications devalued within peer-review processes ([Heinzerling, 2019](#)); ongoing work indicates similar pattern in computer vision

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Currently:

- Data work is heavily undervalued, relative to model work
 - NLP dataset publications devalued within peer-review processes ([Heinzerling, 2019](#)); ongoing work indicates similar pattern in computer vision
- ML curriculums and textbooks don't treat dataset development as a specialty
 - [Jo & Gebru, 2020](#) characterize resulting practices by a *laissez faire* attitude

As a field, we need to rethink how we develop and use datasets

Contingent → Datasets are contingent on the social conditions of creation

Constructed → Data is not objective; 'Ground truth' isn't truth

Value-laden → Datasets are shaped by patterns of inclusion and exclusion

Our data collection and data use practices should reflect this

Data is contingent, constructed, value-laden

Who is reflected in the data?

What taxonomies are imposed?

How are images categorized?

Who is doing the categorization?



CelebA dataset

AI research is not a value-neutral endeavor

~~“I’m just an engineer”~~

~~“I’m just doing basic research”~~

Data Science as Political Action

Grounding Data Science in a Politics of Justice

Ben Green

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Berkman Klein Center for Internet & Society at Harvard University
Harvard John A. Paulson School of Engineering and Applied Sciences

Accountability for the intended and unintended impacts of our work

Status quo is the default, but the status quo is political

“Detachment in the face of history ensures its ongoing codification” -- Ruha Benjamin

Shift focus from *intent* → *impact*

Research is contingent and situated -- be attentive to your own positionality

Our social positions in the world and set of experiences shapes and bounds our view of the world; this in turn affects the research questions we pursue and how we pursue them

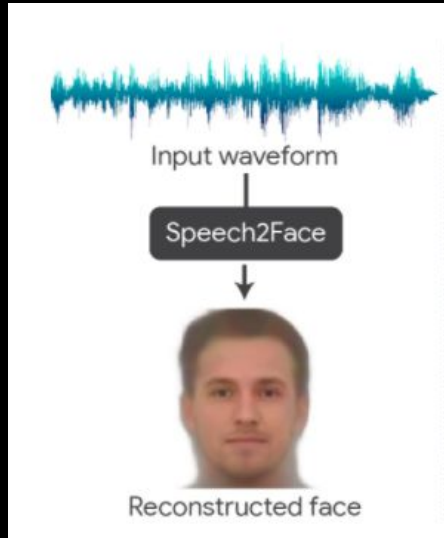
Suggested readings:

Harding (1993). [Rethinking Standpoint Epistemology: What is "Strong Objectivity?"](#)

Kaesler-Chen et al. (2020). [Positionality-Aware Machine Learning](#)

Research is contingent and situated -- be attentive to your own positionality

Limits in your knowledge don't absolve you of responsibility



Voice-to-face synthesis:

Fun application of conditional generative models?

Assistive technology?

Surveillance technology?

Trans-exclusionary technology?

Oh, et al. (2019). [Speech2Face: Learning the Face Behind a Voice](#)

Wen et al. (2019). [Reconstructing faces from voices](#)

Value knowledge and experience of individuals holding marginalized identities

AI development cannot be divorced from the larger social and political landscape

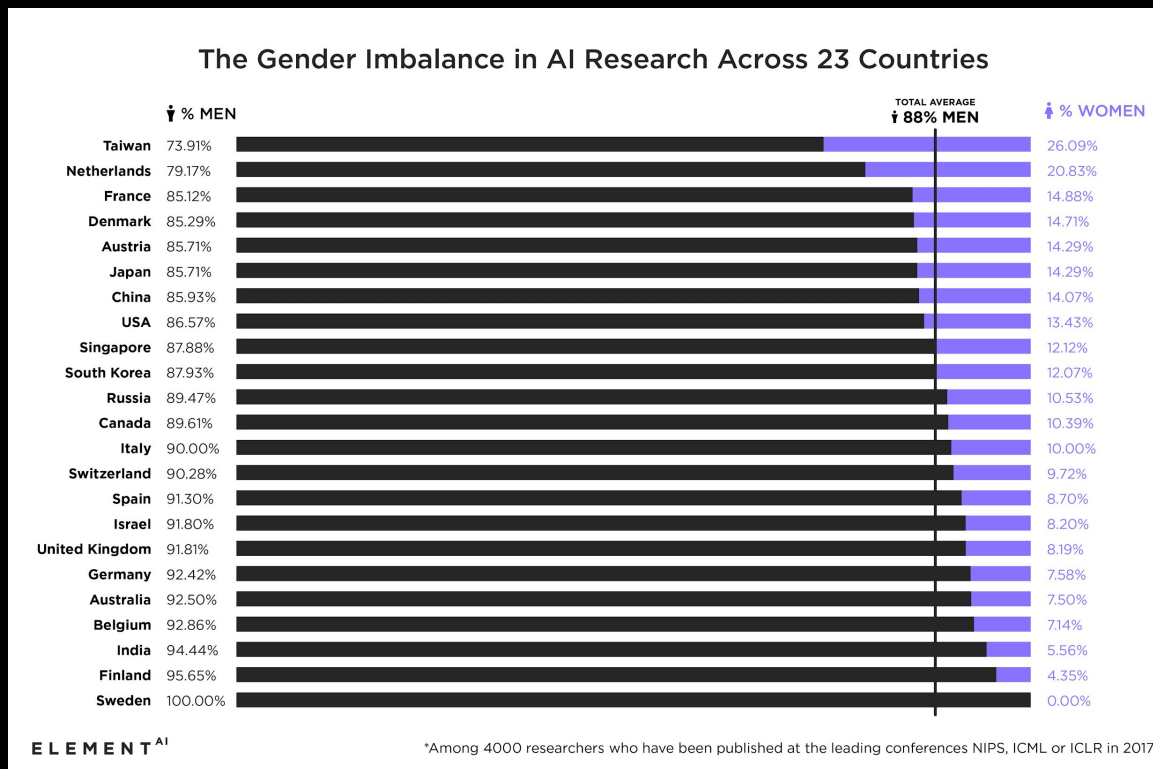
Who gets a say in the development of AI? Who is most likely to experience positive benefit of AI technologies?

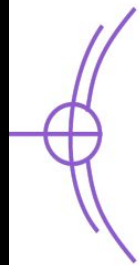
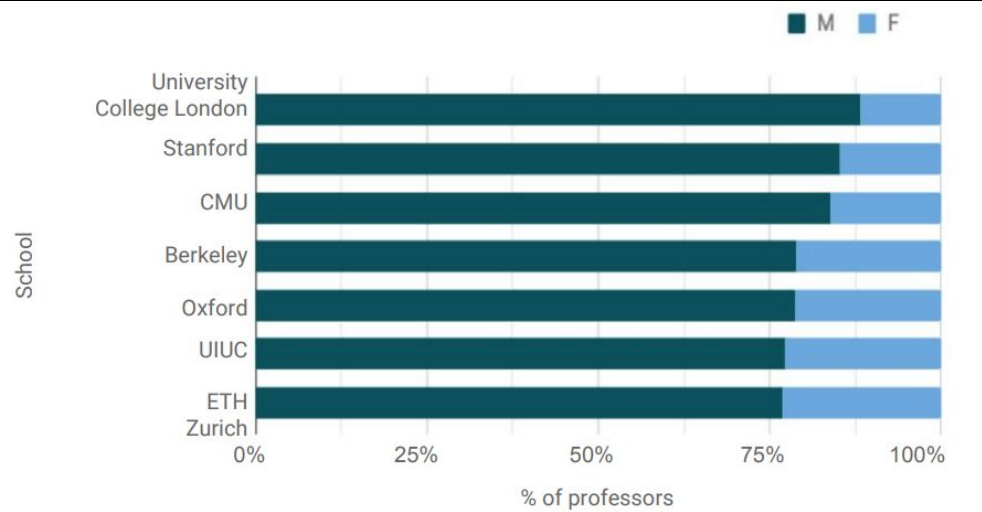
Who is marginalized from AI development? Who is most likely to be harmed by AI technologies?

Diversity and inclusion efforts are part and parcels of responsible AI development

Suggested reading:

West et al. (2019). [Discriminating Systems: Gender, Race and Power in AI](#)





80% of AI professors are male

On average, 80% of professors from UC Berkeley, Stanford, UIUC, CMU, UC London, Oxford, and ETH Zurich are male

Facebook (as of 2018)

- ❖ 22% of technical roles filled by women
- ❖ 15% of AI researchers were women

Google (as of 2018)

- ❖ 21% of technical roles filled by women
- ❖ 10% of AI researchers were women

No reported data on trans and non-binary employees, or other gender minorities

Tom Simonite (2018). [AI Is the Future—But Where Are the Women?](#)

Facebook (as of 2018)

- ❖ 4% Black workers
- ❖ 5% Hispanic workers

Microsoft (as of 2018)

- ❖ 4% Black workers
- ❖ 6% Latinx workers

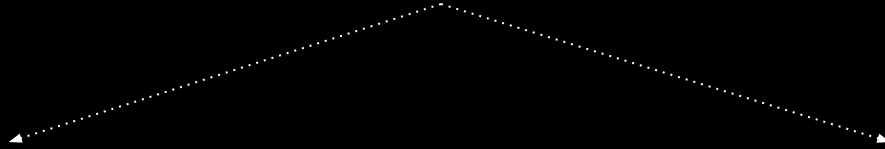
Google (as of 2018)

- ❖ 2.5% Black workers
- ❖ 3.6% Latinx workers

Minority tax

Fixing D&I problems

Calling out unethical practices



Interrogate how structural racism, sexism, etc.
shape academic and industry hiring practices,
cultures, and incentive structures

DISCRIMINATING SYSTEMS

Gender, Race, and Power in AI

Sarah Myers West, AI Now Institute, New York University

Meredith Whittaker, AI Now Institute, New York University, Google Open Research

Kate Crawford, AI Now Institute, New York University, Microsoft Research

APRIL 2019

THE ENIGMA OF DIVERSITY

The Language of Race and
the Limits of Racial Justice

ELLEN BERREY

Value interdisciplinarity and ‘non-technical’ work

Building AI is simultaneously a technical and social endeavour

Racial literacy is important for every AI developer (see Data and Society’s [Advancing Racial Literacy in Tech](#))

Knowledge hierarchies embedded within STEM structure the types of knowledge that is seen as valuable

Lived experiences of individuals experiencing the harms of AI technologies is a form of valuable knowledge

Value knowledge and experience of individuals holding marginalized identities

Those belonging to marginalized groups experience the world in ways that give them access to knowledge that those with the dominant perspective do not

Suggested reading:

Donna Haraway(1988). [Situated Knowledges: The Science Question in Feminism and the Privilege of Partial Perspective](#)

Patricia Hill Collins (1990). [Black Feminist Thought: Knowledge, Consciousness and the Politics of Empowerment](#)

Sandra Harding (1991). [Whose Science? Whose Knowledge?: Thinking from Women's Lives](#)

Value knowledge and experience of individuals holding marginalized identities

Actively follow the perspectives of people in marginalized groups

Listen to your colleagues who have personal experiences with the harms of AI systems

Use your voice and position of power to amplify the voices of marginalized individuals

Learn about design frameworks and organizations that privilege the perspectives of marginalized stakeholders and are leveraging data to empower marginalized communities (e.g.

[Design Justice Network](#), [Our Data Bodies](#), [Data for Black Lives](#))

Thanks!



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