Topics:

- Supervised Learning, Linear Classification, Loss functions
- Gradient Descent

CS 4803-DL / 7643-A ZSOLT KIRA



PS0 due tonight!

- Please do it, and give others a chance at waitlist if your background is not sufficient (beef it up and take it next time)
- Do it even if you're on the waitlist!
- Piazza: 147/175 enrolled
 - Enroll now! https://piazza.com/class/kjsselshfiz18c (Code: DL2021)
 - Make it active!
- Office hours start this week



Collaboration

- Only on HWs and project (not allowed in PS0).
- You may discuss the questions
- Each student writes their own answers
- Write on your homework anyone with whom you collaborate
- Each student must write their own code for the programming part

Zero tolerance on plagiarism

- Neither ethical nor in your best interest
- Always credit your sources
- Don't cheat. We will find out.



Grace period

- 2 days grace period for each assignment (**EXCEPT PS0**)
 - Intended for checking submission NOT to replace due date
 - No need to ask for grace, no penalty for turning it in within grace period
 - Can NOT use for PS0
- After grace period, you get a 0 (no excuses except medical)
 - Send all medical requests to dean of students (https://studentlife.gatech.edu/)
 - Form: https://gatech-advocate.symplicity.com/care_report/index.php/pid224342
- **DO NOT SEND US ANY MEDICAL INFORMATION!** We do not need any details, just a confirmation from dean of students



CS231n Convolutional Neural Networks for Visual Recognition

Python Numpy Tutorial

This tutorial was contributed by Justin Johnson.

We will use the Python programming language for all assignments in this course. Python is a great generalpurpose programming language on its own, but with the help of a few popular libraries (numpy, scipy, matplotlib) it becomes a powerful environment for scientific computing.

We expect that many of you will have some experience with Python and numpy; for the rest of you, this section will serve as a quick crash course both on the Python programming language and on the use of Python for scientific computing.

http://cs231n.github.io/python-numpy-tutorial/

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Python + Numpy Tutorial



Machine Learning Overview



What is Machine Learning (ML)?

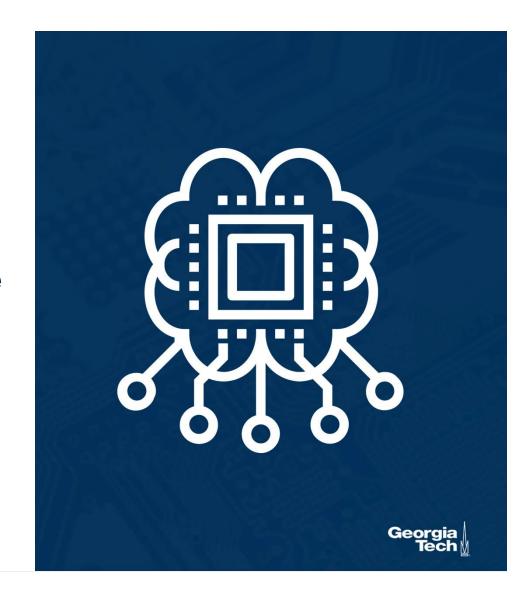
"A computer program is said to learn from experience E with respect to some class of tasks T and performance measure P, if its performance at tasks in T, as measured by P, improves with experience E."

Tom Mitchell (Machine Learning, 1997)

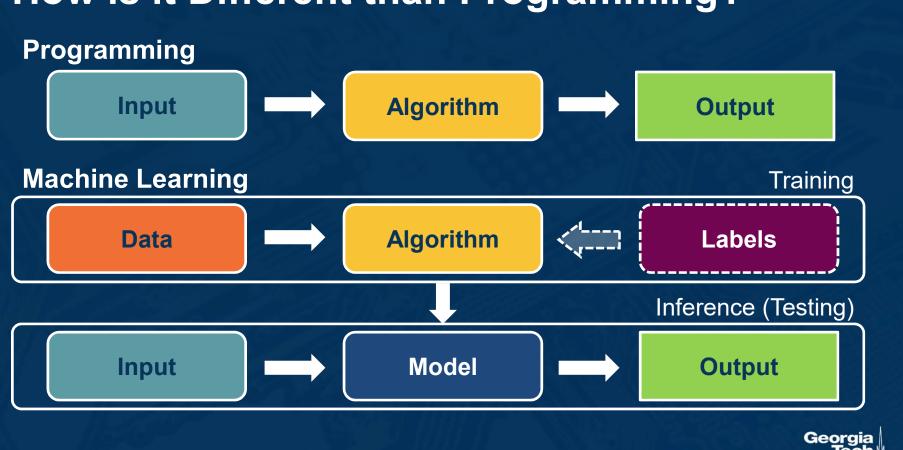


Machine Learning is the study of algorithms that:

- Improve their performance
- on some task(s)
- Based on experience (typically data)



How is it Different than Programming?



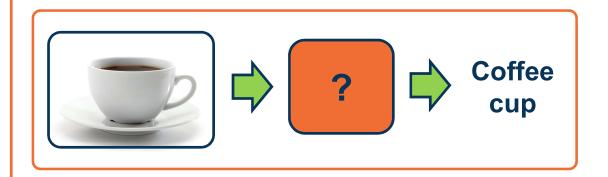


Machine learning thrives when it is **difficult to design an algorithm** to perform the task

Applications:

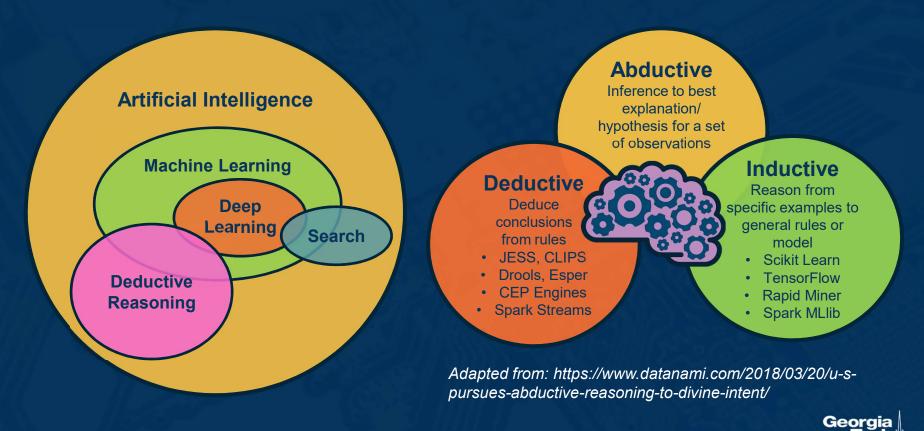
```
algorithm quicksort(A, lo, hi) is
  if lo < hi then
    p := partition(A, lo, hi)
    quicksort(A, lo, p - 1)
    quicksort(A, p + 1, hi)

algorithm partition(A, lo, hi) is
  pivot := A[hi]
  i := lo
  for j := lo to hi do
    if A[j] < pivot then
        swap A[i] with A[j]
    i := i + 1
  swap A[i] with A[hi]
  return i</pre>
```





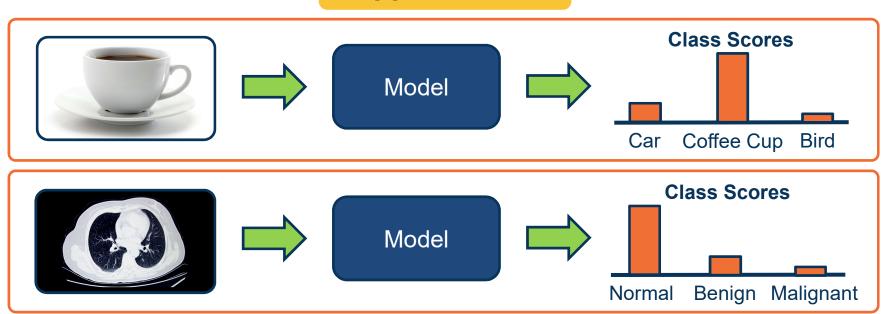
Machine Learning and Artificial Intelligence



Given an image, output class label

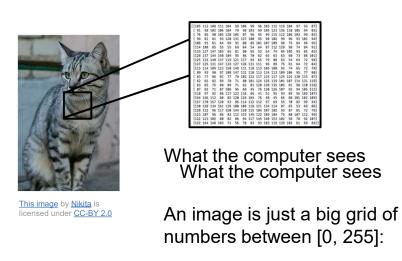
Often output probability distribution over labels

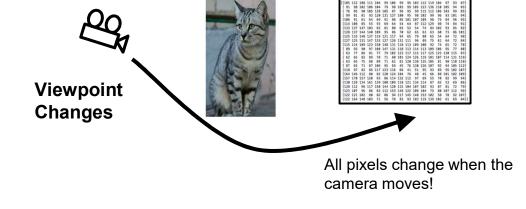
Applications:



Example: Image Classification







Illumination







Salvagnin is

This image by sare This image by Tom

Deformation

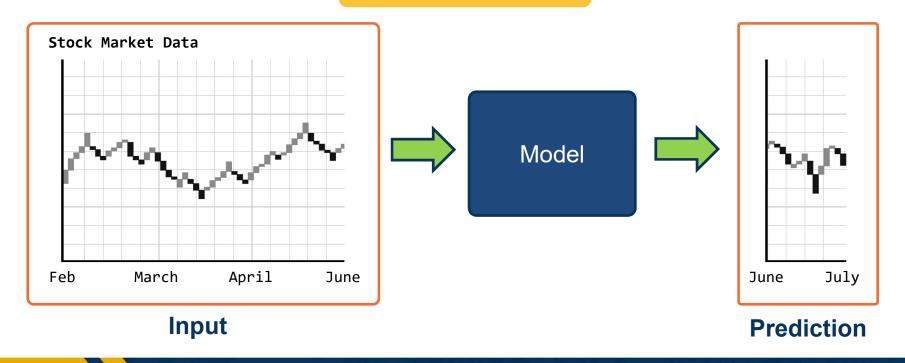
Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

e.g. 800 x 600 x 3 (3 channels RGB)

Why Image Classification is Hard

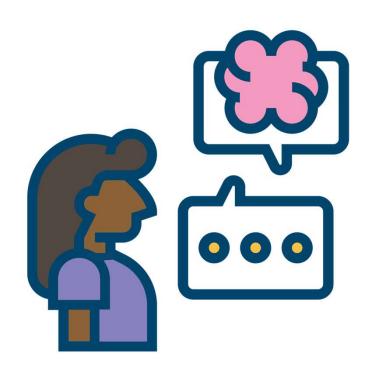
Given a series of measurements, output prediction for next time period

Application:



Example: Time Series Prediction





Very large number of NLP sub-tasks:

- Syntax Parsing
- Parts of speech
- Named entity recognition
- Summarization
- Similarity / paraphrasing

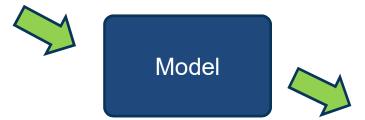
Different from classification: Variable length sequential inputs and/or outputs

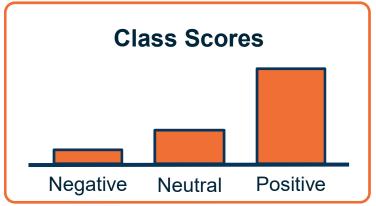
Example: Natural Language Processing (NLP)



Sentiment Analysis:







Example: Natural Language Processing (NLP)

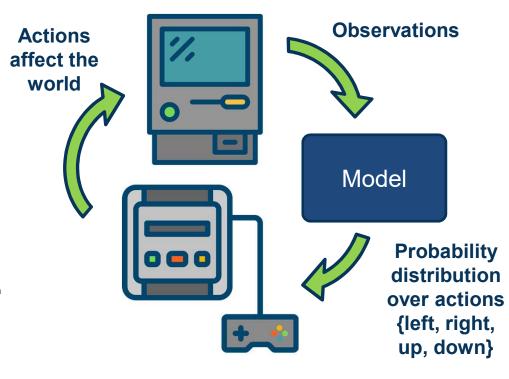


Decision-making tasks

- Sequence of inputs/outputs
- Actions affect the environment

Combination of perception and decision-making/controls

Application:



Example: Decision-Making Tasks



Robotics involves a **combination** of Al/ML techniques:

Sense: Perception

Plan: Planning

Act: Controls/Decision-Making

Some things are learned (perception), while others programmed

Evolving landscape

Application:







Supervised Learning and Parametric Models



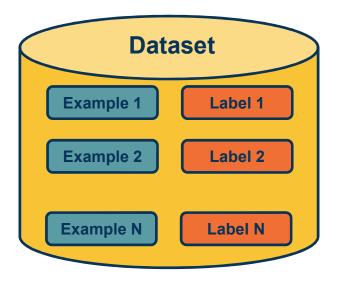
Supervised Learning

- Train Input: {X, Y}
- Learning output: $f: X \to Y$, e.g. P(y|x)

Dataset

$$X = \{x_1, x_2, ..., x_N\}$$
 where $x \in \mathbb{R}^d$ **Examples**

$$Y = \{y_1, y_2, ..., y_N\}$$
 where $y \in \mathbb{R}^c$ Labels



Supervised Learning

- Train Input: $\{X, Y\}$
- Learning output: $f: X \to Y$, e.g. P(y|x)

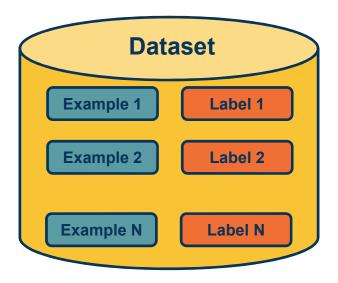
Terminology:

- Model / Hypothesis Class
 - $H:\{h:X\to Y\}$
 - Learning is search in hypothesis space
- Note inputs x_i and y_i are each represented as vectors

Dataset

$$X = \{x_1, x_2, \dots, x_N\} \text{ where } x \in \mathbb{R}^d$$
 Examples

$$Y = \{y_1, y_2, \dots, y_N\}$$
 where $y \in \mathbb{R}^c$ Labels



Types of Machine Learning

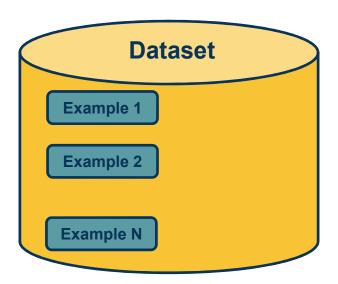


Dataset

 $X = \{x_1, x_2, \dots, x_N\} \text{ where } x \in \mathbb{R}^d$ **Examples**

Unsupervised Learning

- Input: {*X*}
- Learning output: P(x)
- Example: Clustering, density estimation, etc.

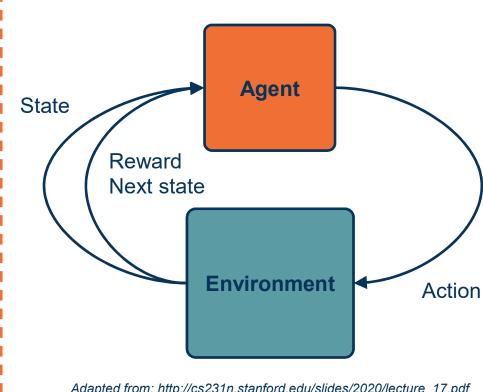


Types of Machine Learning



Reinforcement Learning

- Supervision in form of reward
- No supervision on what action to take



Adapted from: http://cs231n.stanford.edu/slides/2020/lecture_17.pdf



Supervised Learning

- Train Input: {X, Y}
- Learning output: $f: X \to Y$, e.g. P(y|x)

Unsupervised Learning

- Input: {X}
- Learning output: P(x)
- Example: Clustering, density estimation, etc.

Reinforcement Learning

- Supervision in form of reward
- No supervision on what action to take

Very often combined

Sometimes within the same model!

Types of Machine Learning



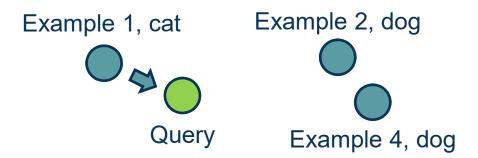
Non-Parametric Model

No explicit model for the function, **examples**:

- Nearest neighbor classifier
- Decision tree

Capacity (size of hypothesis class) grow with size of training data!

Non-Parametric – Nearest Neighbor





Procedure: Take label of nearest example

Supervised Learning



Expensive

- No Learning: most real work done during testing
- For every test sample, must search through all dataset very slow!
- Must use tricks like approximate nearest neighbour search
- Doesn't work well when large number of irrelevant features
 - Distances overwhelmed by noisy features
- Curse of Dimensionality
 - Distances become meaningless in high dimensions



k-Nearest Neighbor on images never used.

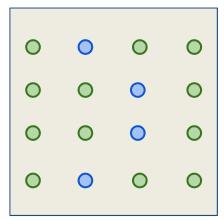
Curse of dimensionality

Lots of weird behavior in high-dimensional spaces,
 e.g. orthogonality of random vectors, percentage of points around shell, etc.

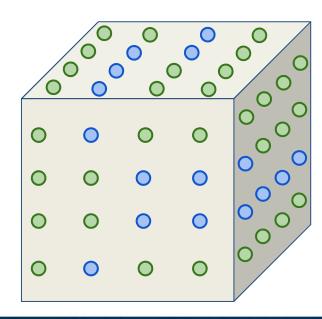
Dimensions =
$$2$$

Points = 4^2





Dimensions = 3Points = 4^3



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Parametric Model

Explicitly model the function $f: X \to Y$ in the form of a parametrized function f(x, W) = y, **examples**:

- Logistic regression/classification
- Neural networks

Capacity (size of hypothesis class) **does not** grow with size of training data!

Learning is **search**

Parametric - Linear Classifier

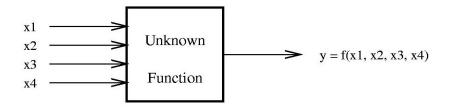
$$f(x,W) = Wx + b$$

Procedure:

Calculate score per class for example

Return label of maximum score (argmax)

A Learning Problem



Example	x_1	x_2	x_3	x_4	y
1	0	0	1	0	0
2	0	1	0	0	0
3	0	0	1	1	1
4	1	0	0	1	1
5	0	1	1	0	0
6	1	1	0	0	0
7	0	1	0	1	0

No Assumptions means no learning



```
Training Stage: 

Training Data \{(x_i, y_i)\} \rightarrow h (Learning)

Testing Stage

Test Data x \rightarrow h(x) (Apply function, Evaluate error)
```



Probabilities to rescue:

X and Y are random variables

$$D = (x_1, y_1), (x_2, y_2), ..., (x_N, y_N) \sim P(X,Y)$$

IID: Independent Identically Distributed

Both training & testing data sampled IID from P(X,Y)

Learn on training set

Have some hope of *generalizing* to test set



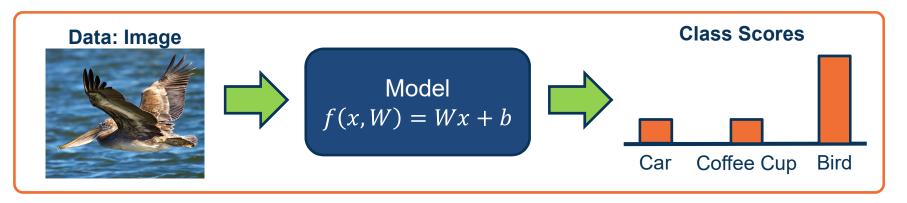
20 years of research in Learning Theory oversimplified:

If you have:

Enough training data D and H is not too complex then *probably* we can generalize to unseen test data

Caveats: A number of recent empirical results question our intuitions built from this clean separation.





Input $\{X, Y\}$ where:

- X is an image
- Y is a ground truth label annotated by an expert (human)
- f(x, W) = Wx + b is our model, chosen to be a linear function in this case
- W and b are the parameters (weights) of our model that must be learned

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Input image is high-dimensional

- For example n=512 so 512x512
 image = 262,144 pixels
- Learning a classifier with highdimensional inputs is hard

Before deep learning, it was typical to perform **feature engineering**

 Hand-design algorithms for converting raw input into a lowerdimensional set of features

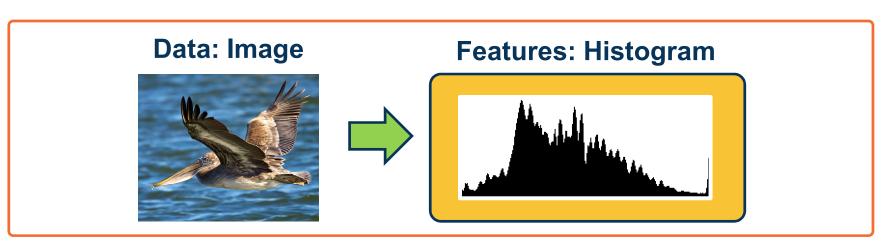
Input Image



$$x = \begin{bmatrix} x_{11} & x_{12} & \cdots & x_{1n} \\ x_{21} & x_{22} & \cdots & x_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ x_{n1} & x_{n2} & \cdots & x_{nn} \end{bmatrix}$$

Example: Color histogram

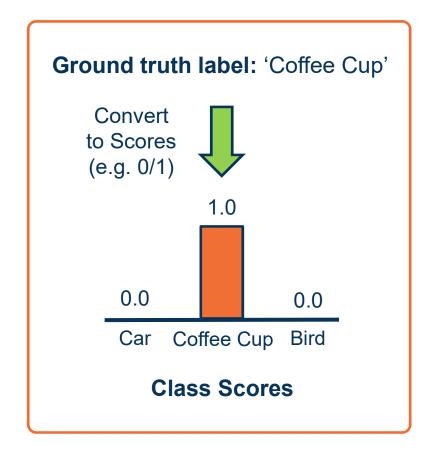
- Vector of numbers representing number of pixels fitting within each bin
- We will later see that learning the feature representation itself is much more effective



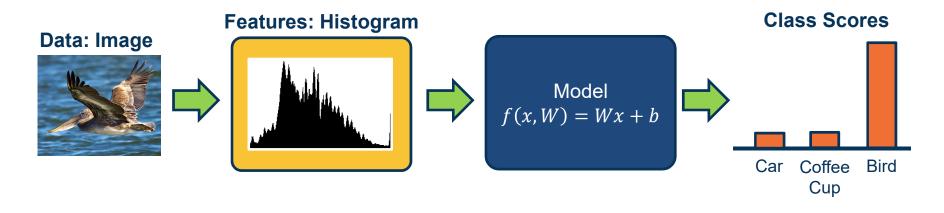
Input Representation: Feature Engineering



- Labels are categories, but we need a numerical representation
 - Assigning number to each category is arbitrary
- Instead, represent probability distribution over categories
- Ground truth label then becomes a probability distribution where the correct category probability is 1, and all others are 0
- Note for regression this is not an issue as the ground truth label (e.g. housing prices) is a number already



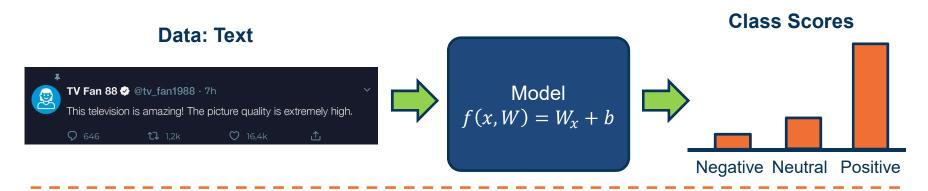




Input $\{X, Y\}$ where:

- X is an image histogram
- Y is a ground truth label represented a probability distribution
- f(x, W) = Wx + b is our model, chosen to be a linear function in this case
- W and b are the weights of our model that must be learned

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Input $\{X, Y\}$ where:

- X is a sentence
- Y is a ground truth label annotated by an expert (human)
- f(x, W) = Wx + b is our model, chosen to be a linear function in this case
- W and b are the weights of our model that must be learned

Word Histogram

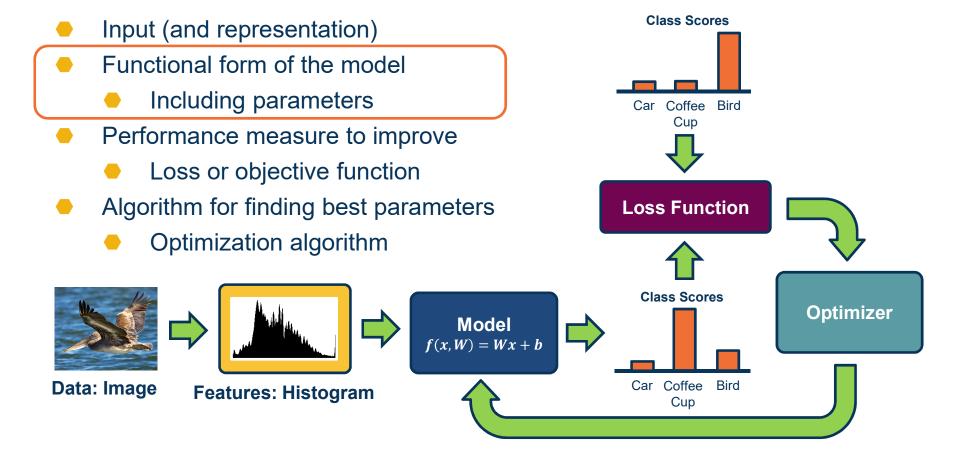
Word	Count
this	1
that	0
is	2
extremely	1
hello	0
onomatopoeia	0

Example: Image Classification



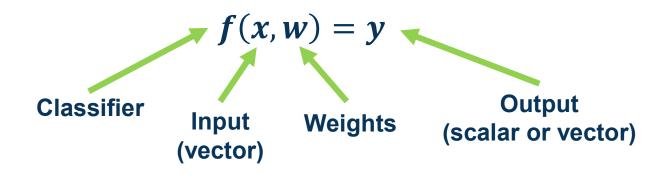
Components
of a
Parametric
Learning
Algorithm





Components of a Parametric Model





- Input: Continuous number or vector
- Output: A continuous number
 - For classification typically a score
 - For regression what we want to regress to (house prices, crime rate, etc.)
- w is a vector and weights to optimize to fit target function

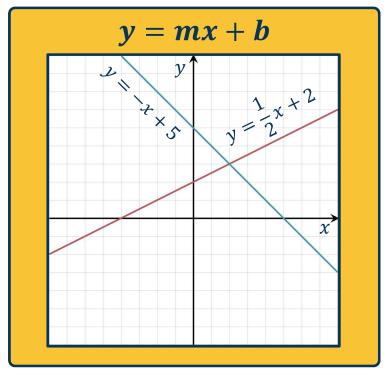


Neural Network

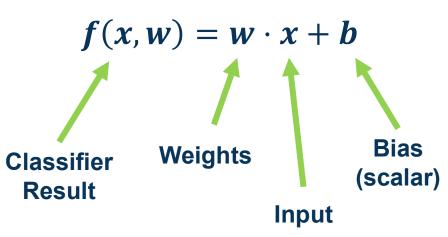


Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

What is the **simplest function** you can think of?



Our model is:



(Note if w and x are column vectors we often show this as $w^T x$)

Image adapted from:

https://en.wikipedia.org/wiki/Linear_equation#/media/File:Linear_Function_Graph.svg

Simple Function



Linear Classification and Regression

Simple linear classifier:

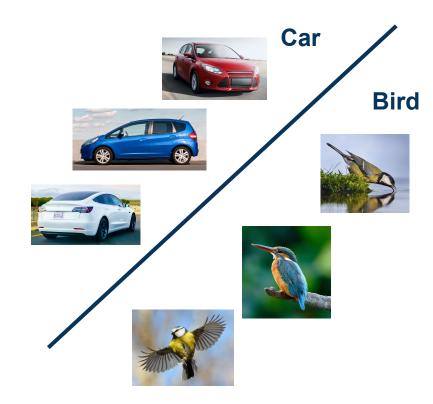
- Calculate score: $f(x, w) = w \cdot x + b$
- Binary classification rule (w is a vector):

$$y = \begin{cases} 1 & \text{if } f(x, w) > = 0 \\ 0 & \text{otherwise} \end{cases}$$

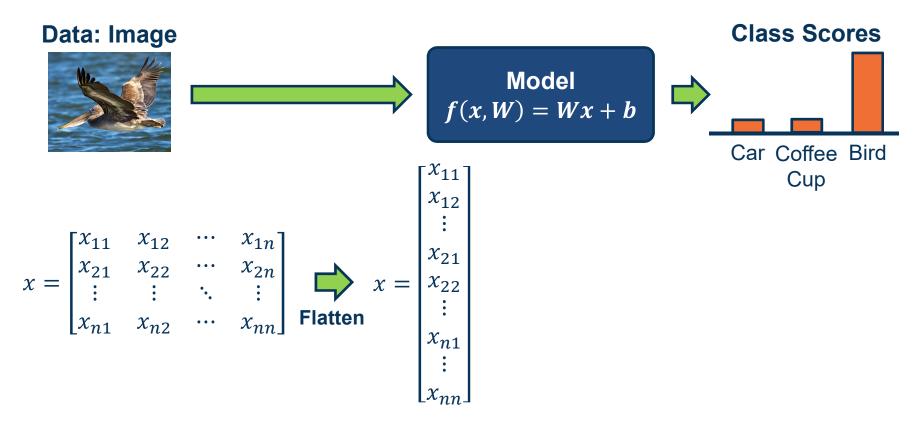
For multi-class classifier take class with highest (max) score f(x, W) = Wx + b



- Idea: Separate classes via high-dimensional linear separators (hyper-planes)
- One of the simplest parametric models, but surprisingly effective
 - Very commonly used!
- Let's look more closely at each element







To simplify notation we will refer to inputs as $x_1 \cdots x_m$ where $m = n \times n$

Classifier for class 1
$$\longrightarrow$$
 $\begin{bmatrix} w_{11} & w_{12} & \cdots & w_{1m} \\ w_{21} & w_{22} & \cdots & w_{2m} \\ w_{31} & w_{32} & \cdots & w_{3m} \end{bmatrix}$ $\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} + \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$

(Note that in practice, implementations can use xW instead, assuming a different shape for W. That is just a different convention and is equivalent.)

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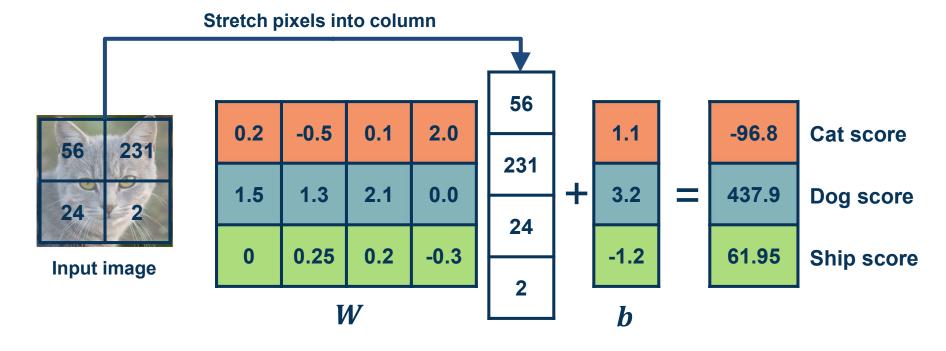
- We can move
 the bias term
 into the weight
 matrix, and a "1"
 at the end of the
 input
- Results in one matrix-vector multiplication!

$$\begin{bmatrix} w_{11} & w_{12} & \cdots & w_{1m} & b_1 \\ w_{21} & w_{22} & \cdots & w_{2m} & b_2 \\ w_{31} & w_{32} & \cdots & w_{3m} & b_3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \\ 1 \end{bmatrix}$$

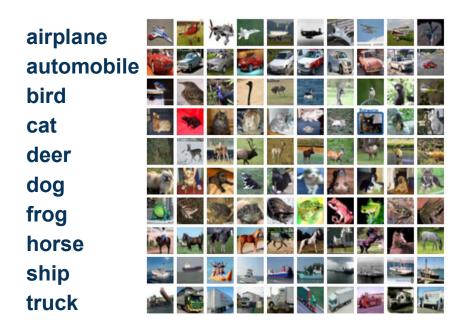
$$W$$

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Example with an image with 4 pixels, and 3 classes (cat/dog/ship)





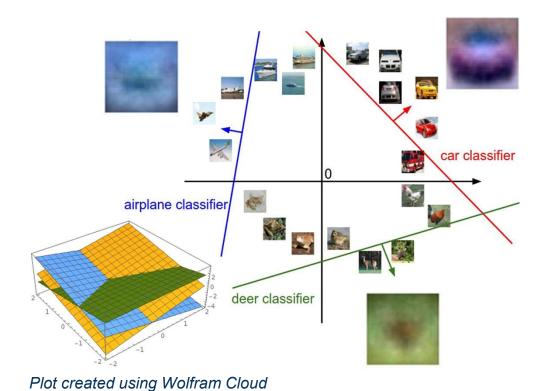


Visual Viewpoint

We can convert the weight vector back into the shape of the image and visualize







Geometric Viewpoint

$$f(x,W)=Wx+b$$



Array of **32x32x3** numbers (3072 numbers total)

Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

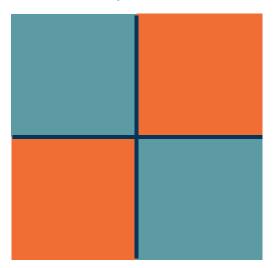
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Class 1:

number of pixels > 0 odd

Class 2:

number of pixels > 0 even



Class 1:

1 < = L2 norm < = 2

Class 2:

Everything else

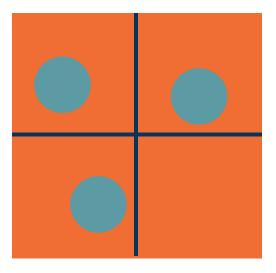


Class 1:

Three modes

Class 2:

Everything else

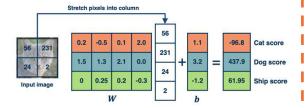






Algebraic Viewpoint

$$f(x, W) = Wx$$



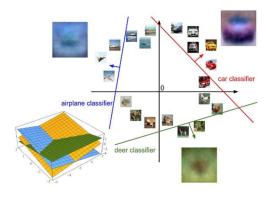
Visual Viewpoint

One template per class



Geometric Viewpoint

Hyperplanes cutting up space



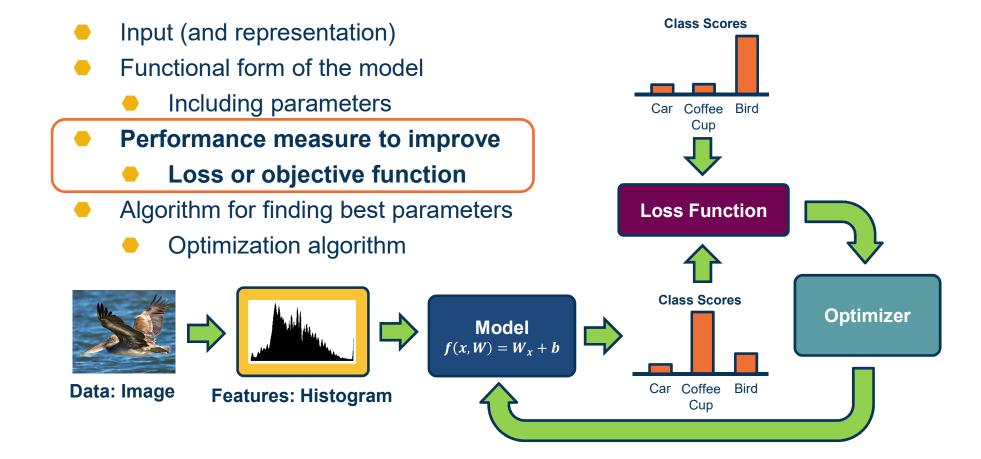
Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

Linear Classifier: Three Viewpoints



Performance Measure for a Classifier





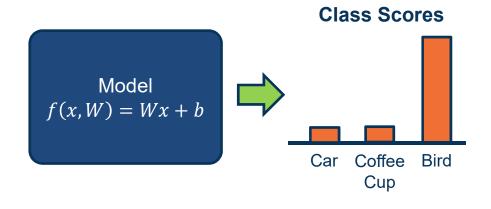
Components of a Parametric Model



- The output of a classifier can be considered a score
- For binary classifier, use rule:

$$y = \begin{cases} 1 & \text{if } f(x, w) > = 0 \\ 0 & \text{otherwise} \end{cases}$$

- Can be used for many classes by considering one class versus all the rest (one versus all)
- For multi-class classifier can take the maximum



Classification using Scores



Several issues with scores:

Not very interpretable (no bounded value)

We often want probabilities

- More interpretable
- Can relate to probabilistic view of machine learning

We use the **softmax** function to convert scores to probabilities

$$s = f(x, W)$$
 Scores

$$P(Y = k | X = x) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$
 Softmax Function

We need a performance measure to **optimize**

- Penalizes model for being wrong
- Allows us to modify the model to reduce this penalty
- Known as an objective or loss function

In machine learning we use **empirical risk minimization**

- Reduce the loss over the training dataset
- We average the loss over the training data

Given a dataset of examples:

$$\{(x_i,y_i)\}_{i=1}^N$$

Where x_i is image and y_i is (integer) label

Loss over the dataset is a sum of loss over examples:

$$L = \frac{1}{N} \sum L_1(f(x_i, W), y_i)$$

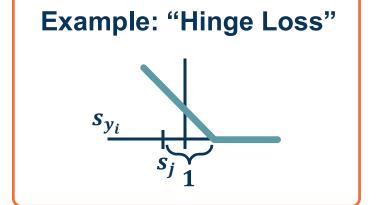
Given an example (x_i, y_i) where x_i is the image and where y_i is the (integer) label,



and using the shorthand for the scores vector: $s = f(x_i, W)$

the SVM loss has the form:

$$L_{i} = \sum_{j \neq y_{i}} \begin{cases} 0 & \text{if } s_{y_{i}} \geq s_{j} + 1 \\ s_{j} - s_{y_{i}} + 1 & \text{otherwise} \end{cases}$$
$$= \sum_{j \neq y_{i}} max(0, s_{j} - s_{y_{i}} + 1)$$



Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

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Given an example $(x_{i,}y_{i})$ where x_{i} is the image and where y_{i} is the (integer) label,

and using the shorthand for the scores vector: $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$$

 $= \max(0, 5.1 - 3.2 + 1)$ $+ \max(0, -1.7 - 3.2 + 1)$

 $= \max(0, 2.9) + \max(0, -3.9)$

= 2.9 + 0

= 2.9

Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:







cat

car

frog

Losses:

3.2

5.1

-1.7

2.9

1.3

4.9

2.0

2.2

2.5

-3.1

Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

SVM Loss Example



Given an example (x_i, y_i) where x_i is the image and where y_i is the (integer) label,

and using the shorthand for the scores vector: $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$$

 $= \max(0, 1.3 - 4.9 + 1)$ $+ \max(0, 2.0 - 4.9 + 1)$

 $= \max(0, -2.6) + \max(0, -1.9)$

= 0 + 0

= 0

Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:







cat

car

frog

3.2

5.1

-1.7

Losses:

1.3

4.9

2.0

0.0

2.2

2.5

-3.1

Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

SVM Loss Example



Given an example $(x_i y_i)$ where x_i is the image and where y_i is the (integer) label,

and using the shorthand for the scores vector: $s = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$$

$$L = (2.9 + 0 + 12.9)/3$$

= **5.27**

Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:





1.3



car

Losses:

3.2

-3.1

2.2

Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n

SVM Loss Example



 $L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$

Q: What happens to loss if car image scores change a bit?

No change for small values

Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:







cat	3.2	1.3	2.2
car	5.1	4.9	2.5
frog	-1.7	2.0	-3.1



Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:

$$L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$$

Q: What is min/max of loss value?

[0,inf]

		1	
đ			
	1	P	
5			





cat	3.2	1.3	2.2
car	5.1	4.9	2.5
frog	-1.7	2.0	-3.1



Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:

$$L_i = \sum_{j \neq y_i} max(0, s_j - s_{y_i} + 1)$$

Q: At initialization W is small so all s ≈ 0. What is the loss?

C-1

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cat	3.2	1.3	2.2
car	5.1	4.9	2.5
froa	-1.7	2.0	-3.1



Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:

$L_i = \sum_{i \neq v_i}$	$max(0, s_j -$	s_{y_i} +	1)
—			

Q: What if the sum was over all classes? (including j = y_i)

No difference (add constant 1)

O	0





cat	3.2	1.3	2.2
car	5.1	4.9	2.5
frog	-1.7	2.0	-3.1



Suppose: 3 training examples, 3 classes. With some W the scores f(x,W)=Wx are:

$L_i = \sum$	$\max_{1 \neq y_i} max(0, s_j - s_{y_i} +$	1)
-t <u>_</u>	$y_i \neq y_i$	_,

Q: What if we used mean instead of sum?

No difference Scaling by constant







cat	3.2	1.3	2.2
car	5.1	4.9	2.5
frog	-1.7	2.0	-3.1



$$egin{aligned} f(x,W) &= Wx \ L &= rac{1}{N} \sum_{i=1}^N \sum_{j
eq y_i} \max(0,f(x_i;W)_j - f(x_i;W)_{y_i} + 1) \end{aligned}$$

E.g. Suppose that we found a W such that L = 0.

Q: Is this W unique?

No 2W also has L=0



- If we use the softmax function to convert scores to probabilities, the right loss function to use is cross-entropy
- Can be derived by looking at the distance between two probability distributions (output of model and ground truth)
- Can also be derived from a maximum likelihood estimation perspective

$$L_i = -\log P(Y = y_i | X = x_i)$$

Maximize log-prob of correct class =

Maximize the log likelihood

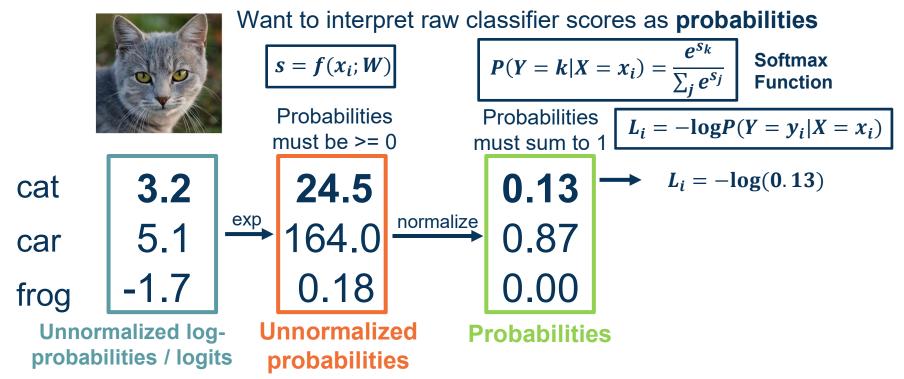
= Minimize the negative log likelihood



 If we use the softmax function to convert scores to probabilities, the right loss function to use is cross-entropy



Softmax Classifier (Multinomial Logistic Regression)





Softmax Classifier (Multinomial Logistic Regression)



Want to interpret raw classifier scores as probabilities

$$s = f(x_i; W)$$

Probabilities must be >= 0

$$P(Y = k|X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$
 Softmax Function

Probabilities must sum to 1 $L_i = -\log P(Y = y_i | X = x_i)$

$$L_i = -\log(0.13)$$

Q: What is the min/max of possible loss L_i?

Infimum is 0, max is unbounded (inf)



Softmax Classifier (Multinomial Logistic Regression)



Want to interpret raw classifier scores as **probabilities**

$$s = f(x_i; W)$$

Probabilities must be >= 0

$$P(Y = k|X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$$
 Softmax Function

Probabilities must sum to 1 $L_i = -\log P(Y = y_i | X = x_i)$

$$L_i = -\log(0.13)$$

Q: At initialization all s will be approximately equal; what is the loss?

Log(C), e.g. $log(10) \approx 2$

