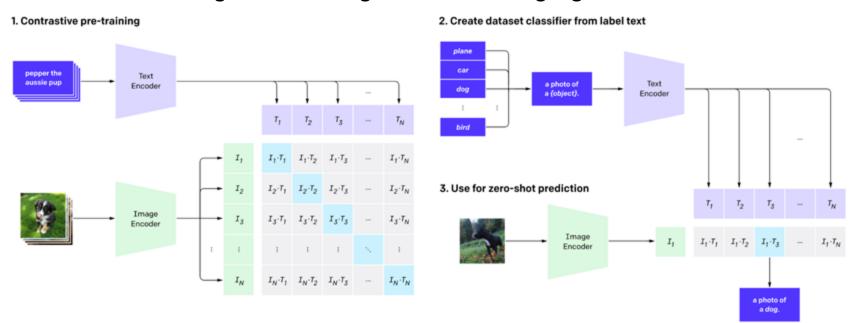
# CS 4644 / 7643-A: Lecture 22 Danfei Xu

Large Vision and Language Models

### From Self-Supervised Learning Lecture ...

Contrastive learning between image and natural language sentences

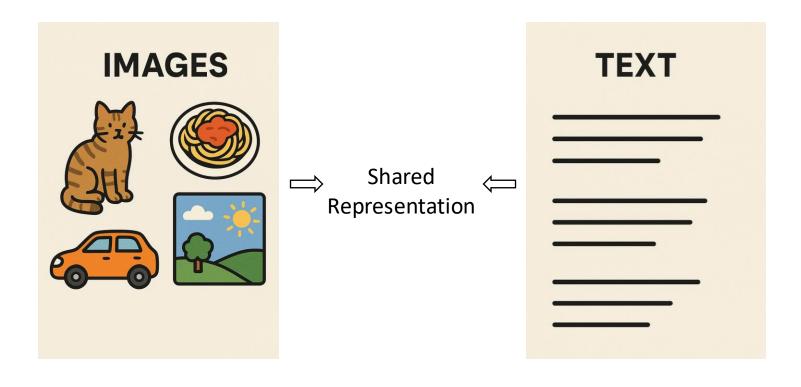


CLIP (Contrastive Language-Image Pre-training) Radford et al., 2021

# Connecting the Pixel and Semantic Worlds at Scale

Vision and Language Models:

# Vision Language Models: Aligning Visual and Semantic Space at Scale



## Why Vision-Language Models?

- Language is the most intuitive interface for an unstructured data space (e.g., natural images)
- Important to ground sensory information to semantic concepts
- Complementary information sources for a given task
- Claim: you cannot learn language without grounding it to the physical world, e.g., through visual sensing.
- Representations are converging (more on this later)

## History: the first captioning model (Ordonez, 2011)

### Im2Text: Describing Images Using 1 Million Captioned Photographs

**Vicente Ordonez** 

Girish Kulkarni

Tamara L Berg

Stony Brook University Stony Brook, NY 11794

{vordonezroma or tlberg}@cs.stonybrook.edu

#### **Abstract**

## History: the first captioning model (Ordonez, 2011)

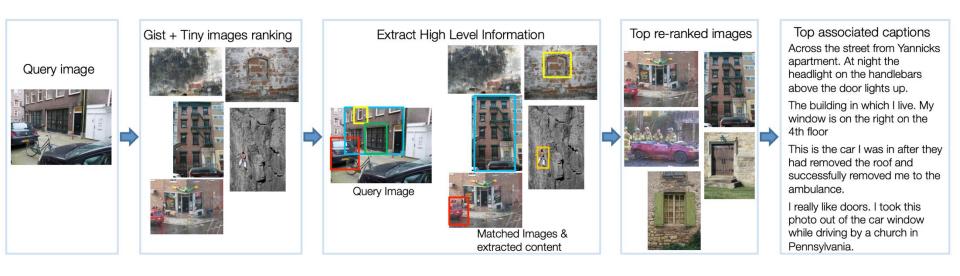


Image -> Image lookup -> match text description -> text stitching

### History: the first deep captioning model (Vinyals, 2015)

### **Show and Tell: A Neural Image Caption Generator**

Oriol Vinyals
Google

vinyals@google.com

Alexander Toshev Google

toshev@google.com

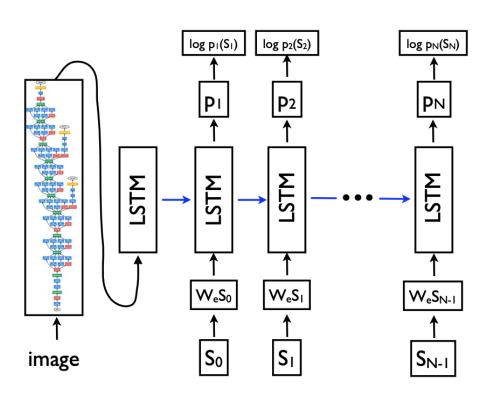
Samy Bengio Google

bengio@google.com

Dumitru Erhan Google

dumitru@google.com

### History: the first deep captioning model (Vinyals, 2015)



### History: the first VQA model (Agrawal, 2015)

### VQA: Visual Question Answering

www.visualqa.org

Aishwarya Agrawal\*, Jiasen Lu\*, Stanislaw Antol\*, Margaret Mitchell, C. Lawrence Zitnick, Dhruv Batra, Devi Parikh

Abstract—We propose the task of *free-form* and *open-ended* Visual Question Answering (VQA). Given an image and a natural language question about the image, the task is to provide an accurate natural language answer. Mirroring real-world scenarios, such as helping the visually impaired, both the questions and answers are open-ended. Visual questions selectively target different areas of an image, including background details and underlying context. As a result, a system that succeeds at VQA typically needs a more detailed understanding of the image and complex reasoning than a system producing generic image captions. Moreover, VQA is amenable to automatic evaluation, since many open-ended answers contain only a few words or a closed set of answers that can be provided in a multiple-choice format. We provide a dataset containing ~0.25M images, ~0.76M questions, and ~10M answers (www.visualqa.org), and discuss the information it provides. Numerous baselines and methods for VQA are provided and compared with human performance. Our VQA demo is available on CloudCV (http://cloudcv.org/vqa).

Standard task: Visual Question Answer

### History: the first VQA model (Agrawal, 2015)



Is something under	yes	no
the sink broken?	yes	no
What number do you see?	33 33 33	5 6 7



Does this man have children?	yes yes	yes yes
	no	no
Is this man crying?	no	yes
	no	yes



Can you park	no	no	
here?	no	no yes	
nere:	no		
What color is the hydrant?	white and orange white and orange white and orange	red red yellow	



Has the pizza been baked?	yes yes yes	yes yes
What kind of cheese is topped on this pizza?	feta feta ricotta	mozzarella mozzarella mozzarella



What kind of store is this?	bakery bakery pastry	art supplies grocery grocery
Is the display sees of	no	no
Is the display case as full as it could be?	no	yes
iuli as it could be:	no	Ves



How many pickles are on the plate?	1	1
What is the shape of the plate?	circle round	circle round

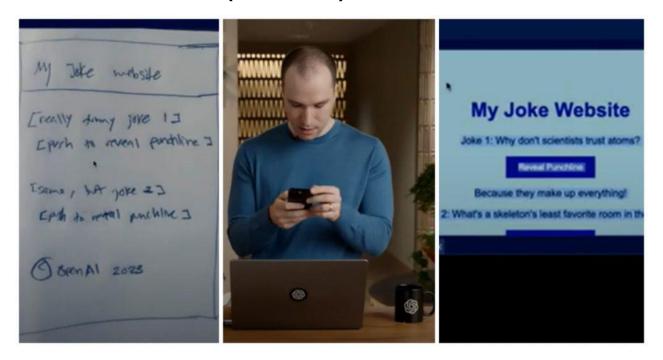


How many bikes are there?	2 2 2	3 4 12
	48	4
What number is	48	46
the bus?	40	muma bas C



stop	yield
octagon octagon octagon	diamond octagon round
	octagon octagon

# Foundation VLM (2019-)



Hand-drawn sketch + instruction -> website source code GPT 4v(ision) (OpenAI, 2023)

### Major Areas

- **Representation**: how to convert raw data into meaningful features
- Translation: transform one modality to another
- Alignment: discover relationships between elements across modalities
- **Fusion**: join features from modalities to support prediction
- Co-learning: transferring knowledge from one modality to another for some downstream tasks

## Language->Vision: Language-guided Image Gen

 $\rightarrow$ 

TEXT DESCRIPTION

An astronaut Teddy bears A bowl of soup

riding a horse lounging in a tropical resort in space playing basketball with cats in space

in a photorealistic style in the style of Andy Warhol as a pencil drawing DALL-E 2













https://openai.com/dall-e-2/

### All images are CC0 Public domain: cat suitcase, cat tree, dog, bear, surfers, tennis, giraffe, motorcycle

### Vision->Language: Image Captioning



A cat sitting on a suitcase on the floor



A cat is sitting on a tree branch



A tennis player in action on the court



A dog is running in the grass with a frisbee



Two giraffes standing in a grassy field



A white teddy bear sitting in the grass



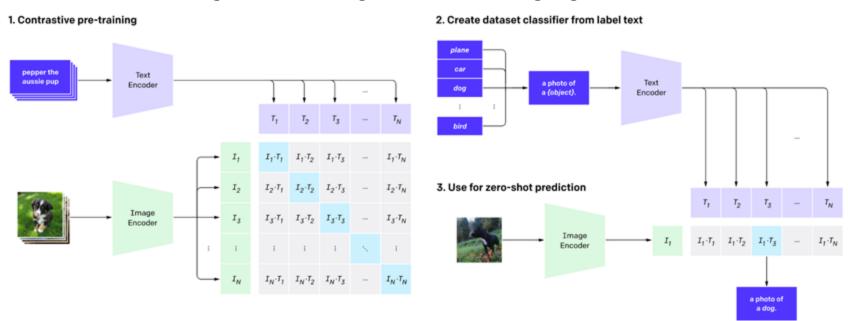
A man riding a dirt bike on a dirt track



Two people walking on the beach with surfboards

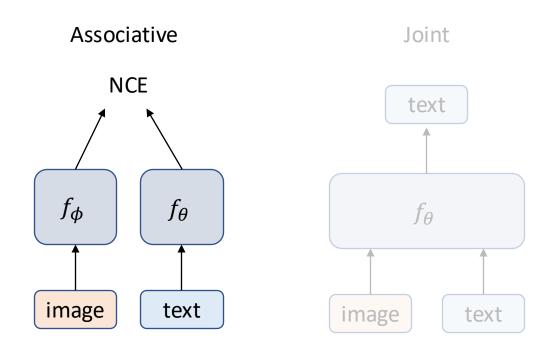
### Image – Language Association

Contrastive learning between image and natural language sentences



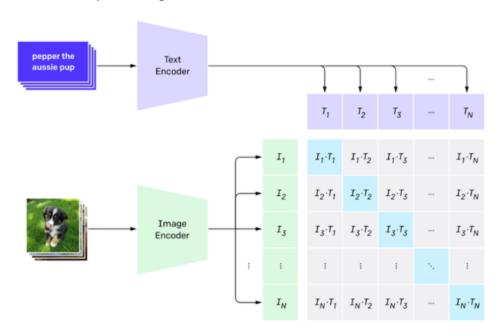
CLIP (Contrastive Language—Image Pre-training) Radford et al., 2021

### Image – language encoding architectures



### CLIP: Associative Encoding

#### 1. Contrastive pre-training



### Recall: Noise Contrastive Learning

Loss function given 1 positive sample and N - 1 negative samples:

$$L = -\mathbb{E}_X \left[ \log \frac{\exp(s(f(x), f(x^+))}{\exp(s(f(x), f(x^+)) + \sum_{j=1}^{N-1} \exp(s(f(x), f(x_j^-)))} \right]$$
 score for the positive score for the N-1 negative pair

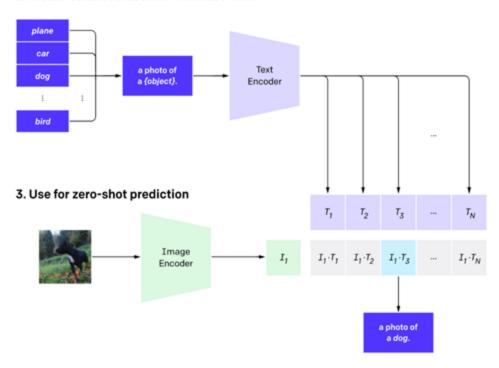
Cross entropy loss for a N-way softmax classifier I.e., learn to find the positive sample from the N samples

### CLIP: Training

```
# image_encoder - ResNet or Vision Transformer
# text encoder - CBOW or Text Transformer
# I[n, h, w, c] - minibatch of aligned images
# T[n, 1] - minibatch of aligned texts
# W_i[d_i, d_e] - learned proj of image to embed
# W_t[d_t, d_e] - learned proj of text to embed
               - learned temperature parameter
# extract feature representations of each modality
I_f = image_encoder(I) #[n, d_i]
T_f = text_encoder(T) #[n, d_t]
# joint multimodal embedding [n, d_e]
I_e = 12_normalize(np.dot(I_f, W_i), axis=1)
T_e = 12_{normalize(np.dot(T_f, W_t), axis=1)}
# scaled pairwise cosine similarities [n, n]
logits = np.dot(I_e, T_e.T) * np.exp(t)
                                                 Predict image -> text association
# symmetric loss function
labels = np.arange(n)
loss_i = cross_entropy_loss(logits, labels, axis=0)
loss_t = cross_entropy_loss(logits, labels, axis=1)
loss = (loss_i + loss_t)/2
```

### CLIP: Zero-shot Classification

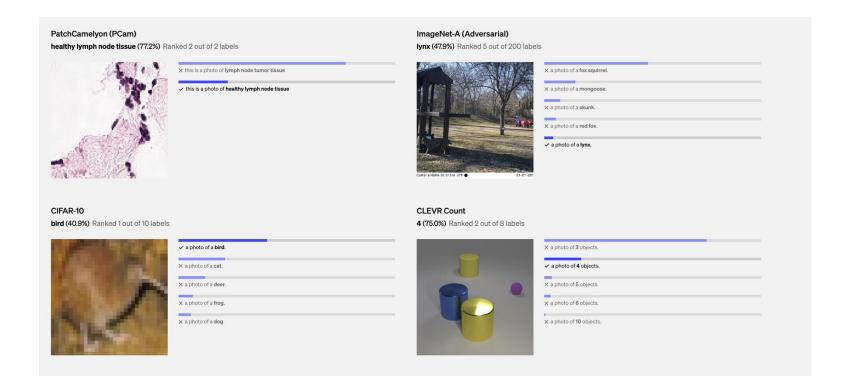
#### 2. Create dataset classifier from label text



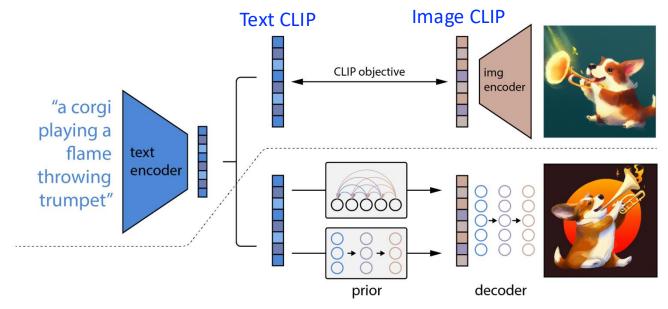
### CLIP: Zero-shot Classification

```
# Load the model
device = "cuda" if torch.cuda.is available() else "cpu"
model, preprocess = clip.load('ViT-B/32', device)
# Download the dataset
cifar100 = CIFAR100(root=os.path.expanduser("~/.cache"), download=True, train=False)
# Prepare the inputs
image, class_id = cifar100[3637]
image_input = preprocess(image).unsqueeze(0).to(device)
text inputs = torch.cat([clip.tokenize(f"a photo of a {c}") for c in cifar100.classes]).to(device)
# Calculate features
with torch.no grad():
    image features = model.encode image(image input)
    text_features = model.encode_text(text_inputs)
# Pick the top 5 most similar labels for the image
image features /= image features.norm(dim=-1, keepdim=True)
text_features /= text_features.norm(dim=-1, keepdim=True)
similarity = (100.0 * image_features @ text_features.T).softmax(dim=-1)
values, indices = similarity[0].topk(5)
```

### CLIP: Zero-shot Classification

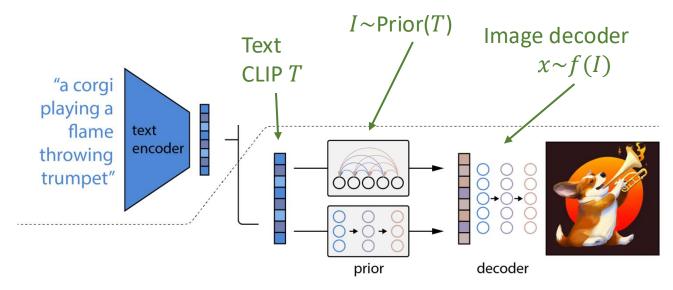


### Generating Images from CLIP Latents (DALL-E 2)



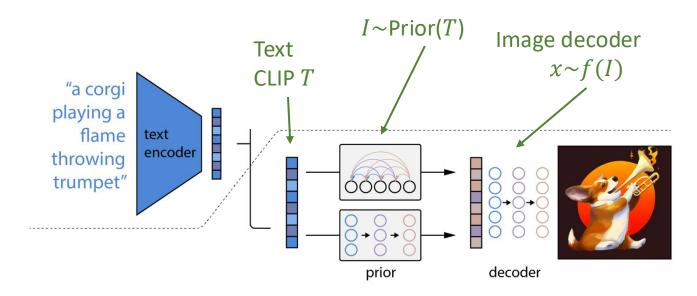
- Train image diffusion with classifier-free guidance using CLIP image embedding
- Train another diffusion model to predict CLIP image embedding from the CLIP embedding of the input text.

### Generating Images from CLIP Latents (DALL-E 2)



- Train image diffusion with classifier-free guidance using CLIP image embedding
- Train another diffusion model to predict CLIP image embedding from the CLIP embedding of the input text.

### Generating Images from CLIP Latents (DALL-E 2)

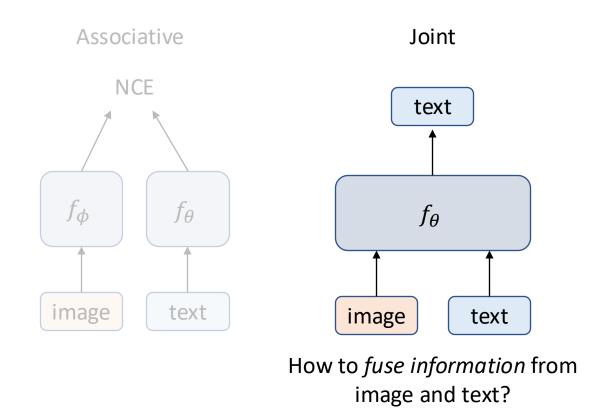


Learning objective for the text to image CLIP embedding diffusion model:

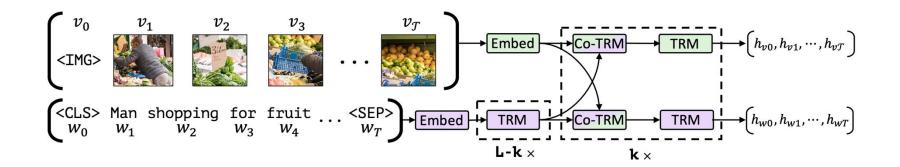
$$L_{ ext{prior}} = \mathbb{E}_{t \sim [1,T], z_i^{(t)} \sim q_t} \left[ \| f_{\theta}(z_i^{(t)}, t, y) - z_i \|^2 \right]$$

Hierarchical Text-Conditional Image Generation with CLIP Latents (Ramesh, Dhariwal, Nichol, Chu, Chen, 2022)

### Image – language encoding architectures

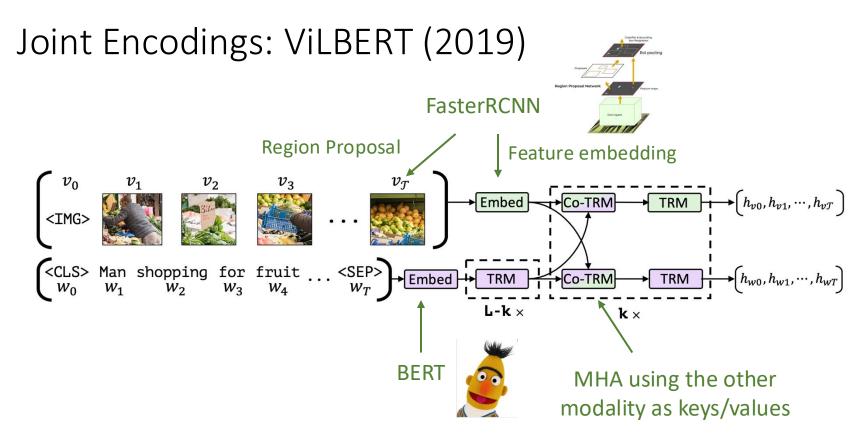


## Joint Encodings: Vilbert (2019)



#### Vision and Language Joint Pretraining

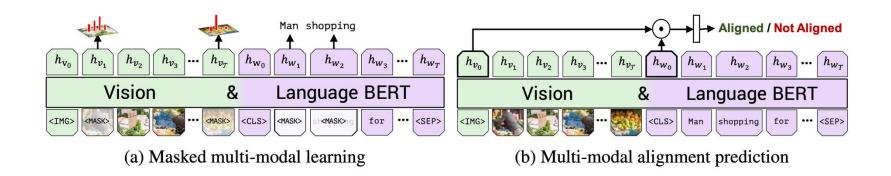
VilBERT: Pretraining Task-Agnostic Visiolinguistic Representations for Vision-and-Language Tasks (Lu et al., 2019)



Vision and Language Joint Pretraining

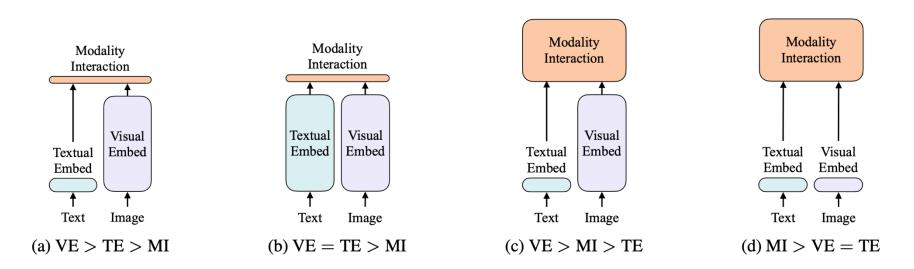
VilBERT: Pretraining Task-Agnostic Visiolinguistic Representations for Vision-and-Language Tasks (Lu et al., 2019)

### Joint Encodings: Vilbert (2019)



Vision and Language Joint Pretraining

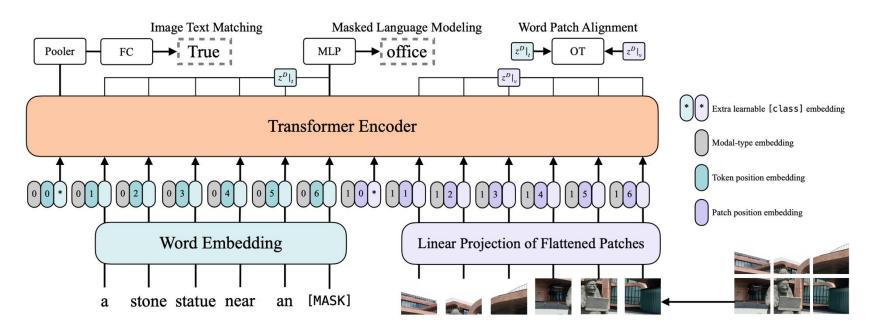
### Joint Encodings: ViLT (2021)



Categories of vision-language model in terms of model complexity / capacity

ViLT: Vision-and-Language Transformer Without Convolution or Region Supervision (Kim and Son, 2021)

### Joint Encodings: ViLT (2021)



Vision and Language Joint Pretraining

ViLT: Vision-and-Language Transformer Without Convolution or Region Supervision (Kim and Son, 2021)

# Data matters

Scaling Up Foundation Vision and Language Models

# Pre-foundation model era (2015 – 2020)

Who is wearing glasses? man woman









Is the umbrella upside down?





Where is the child sitting? fridge arms





How many children are in the bed?





**Visual Question Answering** (Goyal and Knot, 2017)

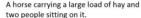


The man at bat readies to swing at the pitch while the umpire looks on.



A large bus sitting next to a very tall



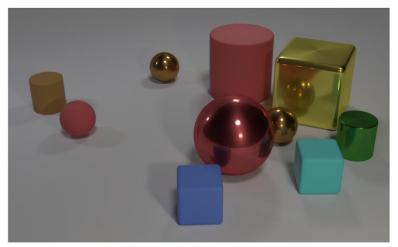




Bunk bed with a narrow shelf sitting underneath it.

**Image Captioning** (MS-COCO)

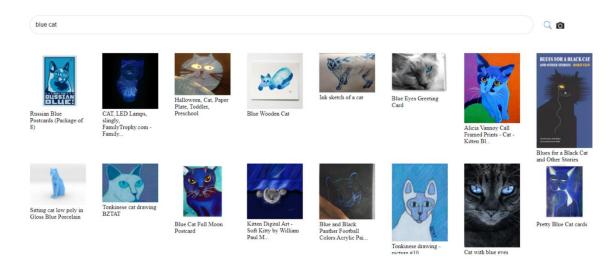
# Pre-foundation model era (2015 – 2020)



Q: Are there an equal number of large things and metal spheres?
Q: What size is the cylinder that is left of the brown metal thing that is left of the big sphere? Q: There is a sphere with the same size as the metal cube; is it made of the same material as the small red sphere?
Q: How many objects are either small cylinders or metal things?

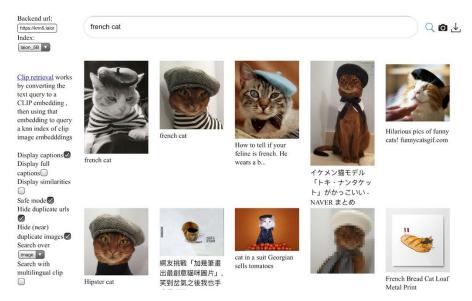
Diagnostic Language and Visual Reasoning (CLEVR, Johnson et al., 2016)

# The "Foundation Model Era" (2020-now)



- LAION-400M: 400 million image-text pairs
- Built using Common Crawl datasets,
- Extracting image-text pairs from HTML data.
- Post-processing filters unsuitable pairs using OpenAI's CLIP model.
- A 10TB webdataset with CLIP embeddings and kNN indices.

### The "Foundation Model Era" (2020-now)



- LAION-5B: Significantly larger than LAION-400M
- Crawled using 50 billion webpages + CLIP filtering
- 2.3 billion pairs in English + 2.2 billions in other languages + 1 billion unassignable languages (e.g., names).

#### The "Foundation Model Era" (2020-now)

#### Stable Diffusion @

Stable Diffusion was made possible thanks to a collaboration with <u>Stability Al</u> and <u>Runway</u> and builds upon our previous work:

High-Resolution Image Synthesis with Latent Diffusion Models

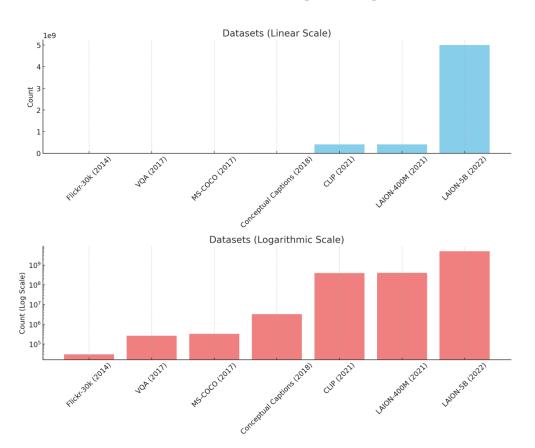
Robin Rombach\*, Andreas Blattmann\*, Dominik Lorenz, Patrick Esser, Björn Ommer

CVPR '22 Oral | GitHub | arXiv | Project page



Stable Diffusion is a latent text-to-image diffusion model. Thanks to a generous compute donation from Stability Al and support from LAION, we were able to train a Latent Diffusion Model on 512x512 images from a subset of the LAION-5B database. Similar to Google's Imagen, this model uses a frozen CLIP ViT-L/14 text encoder to condition the model on text prompts. With its 860M UNet and 123M text encoder, the model is relatively lightweight and runs on a GPU with at least 10GB VRAM. See this section below and the model card.

### A snapshot of vision-language dataset



#### Automatic data crawling is great but ...



tomclancysthedivision2\_gc18images\_0001



Enchantments-JUN16-13.jpg



"""""They Shall Not Grow Old""". Watching Peter
Jackson tinker with WW1 is like watching George Lucas
tinker with """Star Wars""". Only way more offensive.
pic.twitter.com/PkteSrh9tR""



The International Code Council (ICC) has ratified a change to the 2021 International Building Code (IBC) to allow the use of shipping containers in commercial construction. Photo © www.bigstockphoto.com

Composing Vision and Language Models

#### How to compose *pretrained* L and V models?

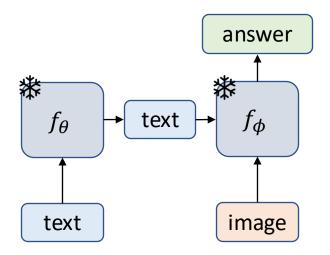


#### How to compose *pretrained* L and V models?

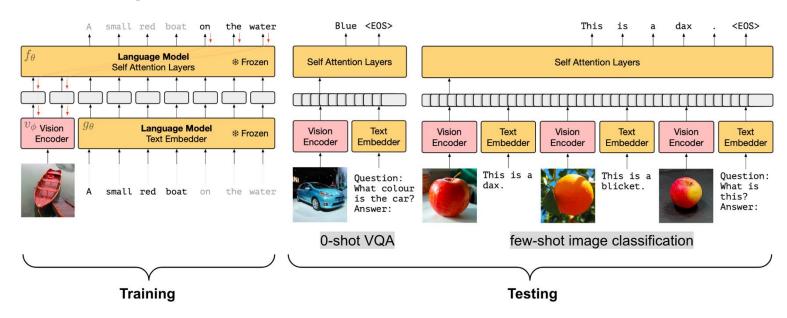
Fast finetuning

 $f_{\theta} \qquad f_{\phi}$ text image

Language as interface



#### Finetuning VLM: Frozen LM, finetune VM



- Train image encoder with frozen language model.
- Train image encodings to "behave like" language tokens

#### Finetuning VLM: Frozen LM, finetune VM



 At test time, can do 0-shot VQA or few-shot classification through in-context learning capability of LLMs

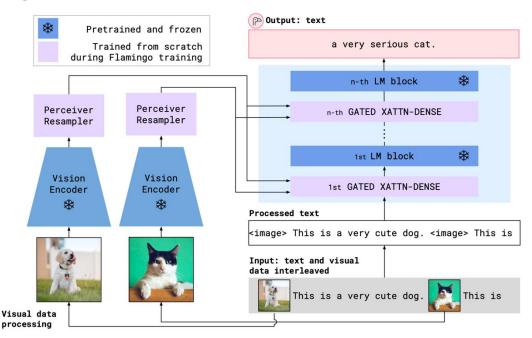
#### Finetuning VLM: Frozen LM, finetune VM

n-shot Acc.	n=0	n=1	n=4	$\mid  au$
Frozen	29.5	35.7	38.2	X
Frozen scratch	0.0	0.0	0.0	X
Frozen finetuned	24.0	28.2	29.2	X
Frozen train-blind	26.2	33.5	33.3	X
Frozen <sub>VQA</sub>	48.4	-	_	<b>/</b>
Frozen VQA-blind	39.1	_	_	1
Oscar [23]	73.8	-	_	<b>/</b>

n-shot Acc.	n=0	n=1	n=4	$\mid  au$
Frozen	5.9	9.7	12.6	X
Frozen 400mLM	4.0	5.9	6.6	X
Frozen finetuned	4.2	4.1	4.6	X
Frozen train-blind	3.3	7.2	0.0	X
Frozen <sub>VQA</sub>	19.6	-	-	X
Frozen VQA-blind	12.5	_	_	X
MAVEx [42]	39.4	-	-	<b>/</b>

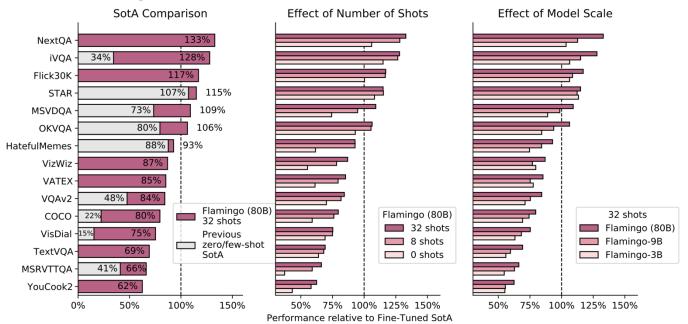
- Training large VLM from scratch does not work at all
- Finetuning LM degrades performance
- "Blind" baselines still works, showing the innate power of LM

#### Finetuning VLM: freeze both LM and VM



- Interleaved text-image input
- Only finetune the cross attention (XATTN-DENSE) layers

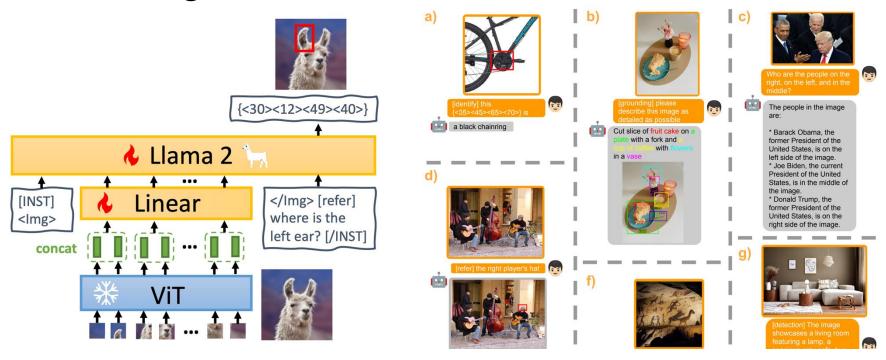
#### Finetuning VLM: freeze both LM and VM



- Largely outperforms previous zero/few shot SotA
- More in-context learning examples do help
- Larger model gives better results

Flamingo: a Visual Language Model for Few-Shot Learning (Alayrac et al., 2022)

#### Finetuning VLM: freeze both LM and VM



Freeze VM and LM. Train the linear layer and LORA finetune Llama 2

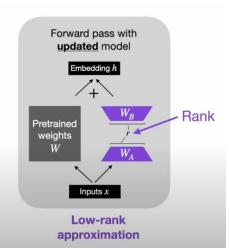
## Low-rank finetuning (LORA) quickly finetune a billion-parameter model

**Problem**: finetuning still takes a lot of data, especially if the model is huge and/or the domain gap is large.

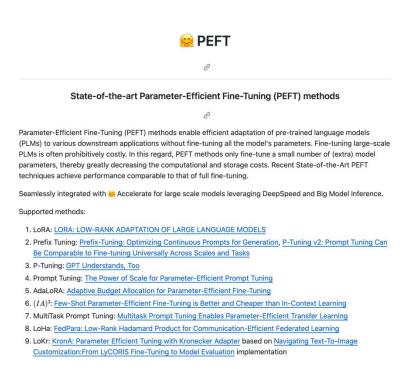
Fact: finetuning is just adding a  $W_{\delta}$  to the existing weight matrix W, i.e.,  $W^* = W + W_{\delta}$ 

**Hypothesis**:  $W_{\delta}$  is *low-rank*, meaning that  $W_{\delta}$  can be decomposed into two smaller matrices A and B, i.e.,  $W_{\delta} = A^T B$ .

**Implication**: A and B have a lot fewer parameters than the full W. Requires less data and faster to train.

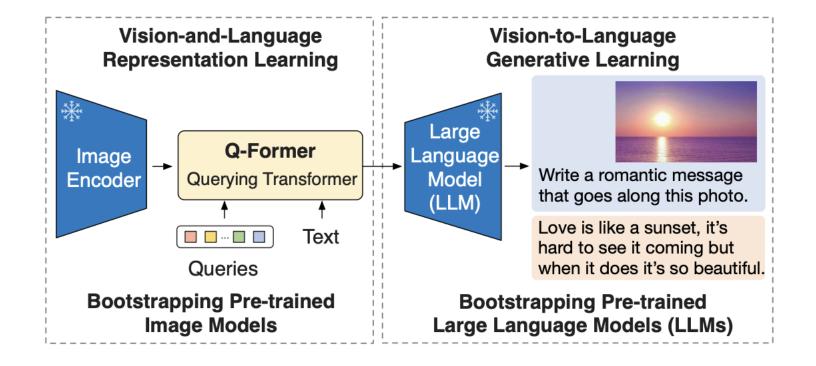


## Low-rank finetuning (LORA) quickly finetune a billion-parameter model



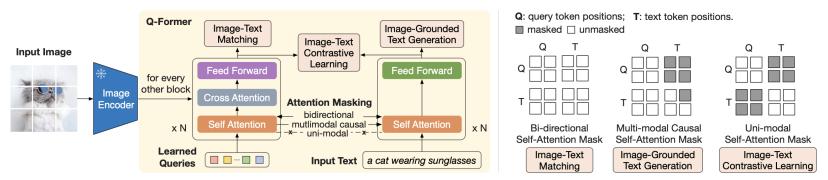
```
import torch
from peft import inject adapter in model. LoraConfig
class DummyModel(torch.nn.Module):
    def __init__(self):
        super(). init ()
        self.embedding = torch.nn.Embedding(10, 10)
        self.linear = torch.nn.Linear(10, 10)
        self.lm head = torch.nn.Linear(10, 10)
    def forward(self, input_ids):
        x = self.embedding(input ids)
        x = self.linear(x)
        x = self.lm head(x)
        return x
lora_config = LoraConfig(
    lora alpha=16.
    lora_dropout=0.1,
    r=64,
    bias="none".
    target_modules=["linear"],
model = DummyModel()
model = inject adapter in model(lora config, model)
dummy_inputs = torch.LongTensor([[0, 1, 2, 3, 4, 5, 6, 7]])
dummy outputs = model(dummy inputs)
```

#### Q-Former: Pretraining to Align Vision to Text

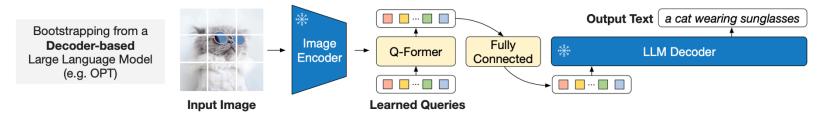


#### Q-Former: Pretraining to Align Vision to Text

1. Extract text-relevant image feature through pretraining:



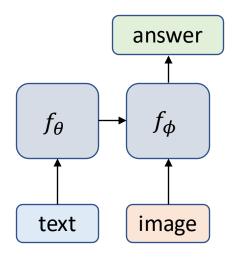
#### 2. Generative finetuning of Q-Former

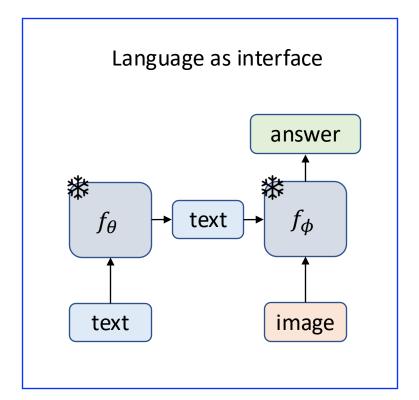


BLIP-2: Bootstrapping Language-Image Pre-training with Frozen Image Encoders and Large Language Models (Li et al., 2023)

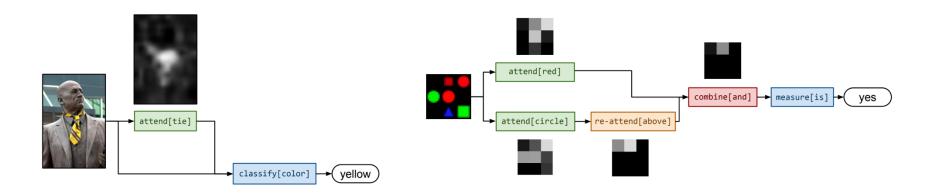
#### How to compose *trained* L and V models?

Fast finetuning



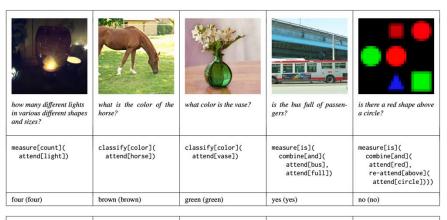


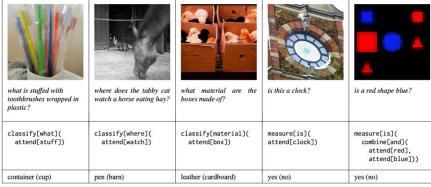
#### Neural Module Networks (Andreas et al., 2015)



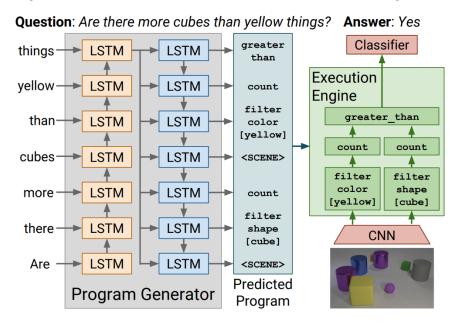
Idea: train modular networks (attend, classify). Use a controller network to decide how to compose the modules together to solve a task

#### Neural Module Networks (Andreas et al., 2015)





# Inferring and Executing Programs for Visual Reasoning (Johnson et al., 2017)

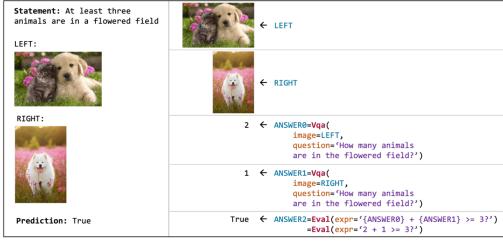


Similar to NMN, but train a *program generator* using REINFORCE Reward comes from whether the answer is correct

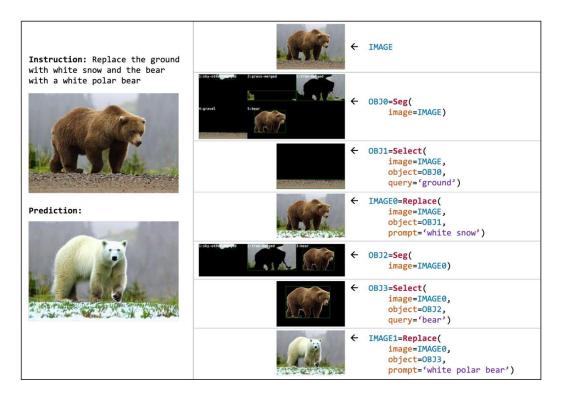
Visual Programming: Compositional visual reasoning without training (Gupta et al., 2023)

```
Instruction: Hide the face of Nicole Kidman with :p
      Program:
      OBJ0=Facedet(image=IMAGE)
      OBJ1=Select(image=IMAGE, object=OBJ0, query='Nicole Kidman')
      IMAGE0=Emoji(image=IMAGE, object=OBJ1, emoji='face with tongue')
       RESULT=IMAGE0
      Instruction: Create a color pop of the white Audi
      Program:
      OBJ0=Seg(image=IMAGE)
In-context
      OBJ1=Select(image=IMAGE, object=OBJ0, query='white Audi')
       IMAGE0=ColorPop(image=IMAGE, object=OBJ1)
      RESULT=TMAGE0
      Instruction: Replace the red car with a blue car
       Program:
      OBJ0=Seg(image=IMAGE)
      OBJ1=Select(image=IMAGE, object=OBJ0, query='red car')
      IMAGE0=Replace(image=IMAGE, object=OBJ1, prompt='blue car')
      RESULT=TMAGE0
      Instruction: Replace the BMW with an Audi and cloudy sky with clear sky
      Program:
                     Prompt
                                     GPT-3
                                                   Program
      OBJ0=Seg(image=IMAGE)
      OBJ1=Select(image=IMAGE, object=OBJ0, query='BMW')
      IMAGE0=Replace(image=IMAGE, object=OBJ1, prompt='Audi')
      OBJ1=Seg(image=IMAGE0)
      OBJ2=Select(image=IMAGE0, object=OBJ1, query='cloudy sky')
      IMAGE1=Replace(image=IMAGE0, object=OBJ2, prompt='clear sky')
```

RESULT=TMAGE1



# Visual Programming: Compositional visual reasoning without training (Gupta et al., 2023)



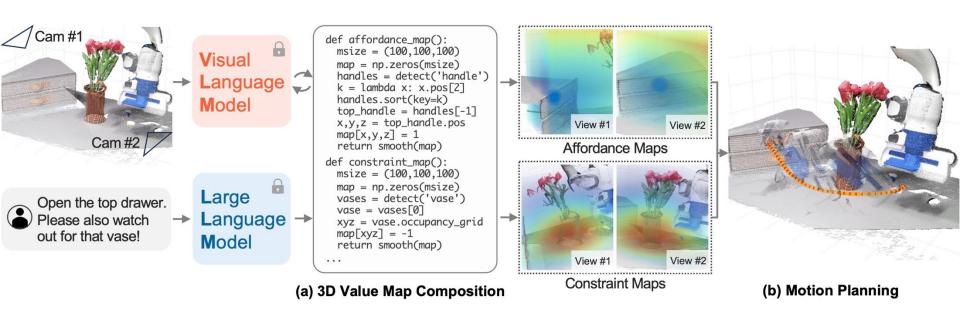
#### ProgPrompt (Singh et al., 2023): Program to Actions

```
from actions import grab and putin <obj><obj>,
                            grab_and_puton <obj><obj>, switchon <obj>,
                            switchoff <obj>, open <obj>, ...
                            def throw away banana():
                              objects = ['banana', 'garbage can',...]
                              # 1: put banana in garbage can
                              grab_and_putin('banana', 'garbagecan')
                             def put fork and spoon on the box():
                              objects = ['fork', 'spoon', 'knife',]
                            def put_fork_on_plate_and_spoon_in_box():
                            def sort fruits on plate and bottles in box():
                              objects = ['banana', 'bottle', 'box',
                                  'plate', 'table', 'drill', 'strawberry']
                         LLM [GPT-3
 Generated Plan
 # 1: put banana on plate
                                       # 3: put bottle in box
grab and puton('banana', 'plate')
                                      grab and putin('bottle', 'box')
                                       # 4: Done
 # 2: put strawberry on plate
→ grab_and_puton('strawberry', 'plate'
```



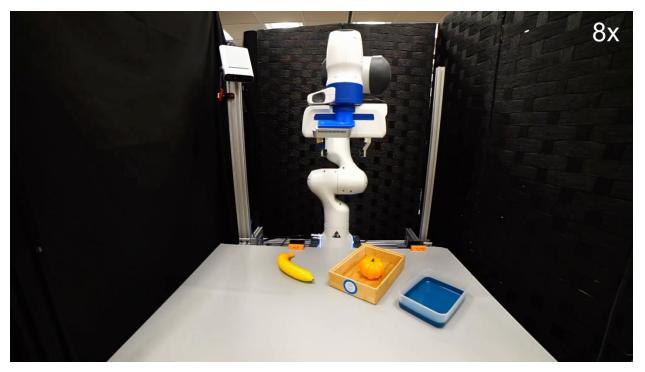
Use large language models (LLMs) to generate program-like semantic plans from natural language command.

#### VoxPoser (Huang et al., 2023): Program to Grounded Actions



Use LLMs to guide VMs to find where to act next in a 3D scene

#### VoxPoser (Huang et al., 2023): Program to Grounded Actions



"Sort the paper trash into the blue tray."

Where do we go from here?

**Hypothesis**: When trained at large scale, representations learned from different objectives / modalities are converging to the *same statistical model* that reflects the underlying reality of the world Z

In **Plato's Theory of Forms**, things we see (red apples, red cars) are **imperfect appearances** of a deeper, **abstract form** (the form of "Redness").

The claim is that when trained at scale, models capture such abstract, invariant concepts

### The Platonic Representation Hypothesis Neural networks, trained with different objectives on different data and modalities, are converging to a shared statistical model of reality in their representation spaces. red sphere next to

https://arxiv.org/pdf/2405.07987

#### What does "Red" mean?

**Goal:** Test whether models encode **color as an abstract concept**, not tied to specific objects.

**Method:** Compare embeddings of *red vs blue* across many object categories (apple, car, chair, bird, etc).

 Check if (red apple – blue apple) ≈ (red car – blue car) in representation space.

**Result**: Color differences form **consistent vectors** across objects; colors lie on a shared latent manifold.

• The model holds a **generalizable "idea of red"** independent of shape or category.

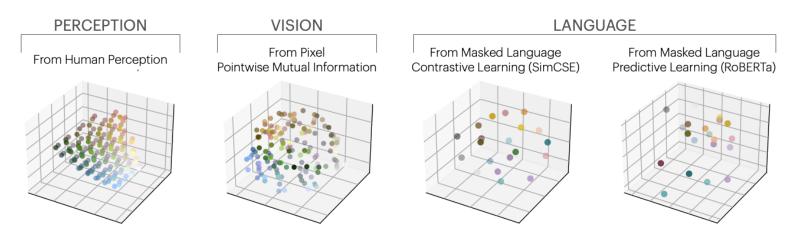
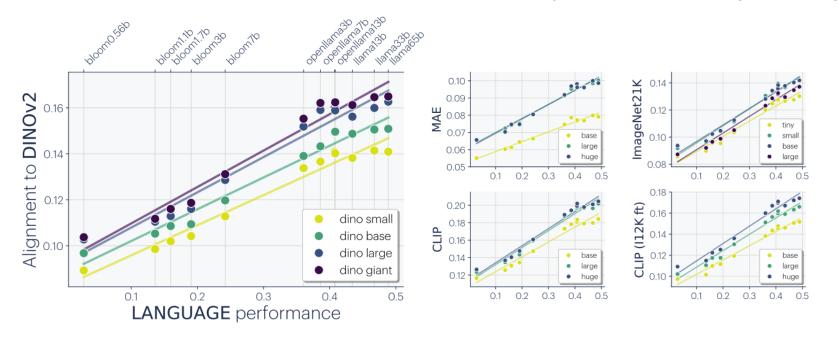


Figure 8. Color cooccurrence in VISION and LANGUAGE yields perceptual organization: Similar representations of color are obtained via, from LEFT to RIGHT, the perceptual layout from CIELAB color space, cooccurrence in CIFAR-10 images, and language cooccurrence modeling (Gao et al. (2021); Liu et al. (2019); computed roughly following Abdou et al. (2021)). Details in Appendix D.

"... color distances in learned language representations, when trained to predict cooccurrences in text, closely mirror human perception of these distances."



Stronger LLMs tend to align better with vision model in representation space (measured in mutual nearest neighbor)

https://arxiv.org/pdf/2405.07987

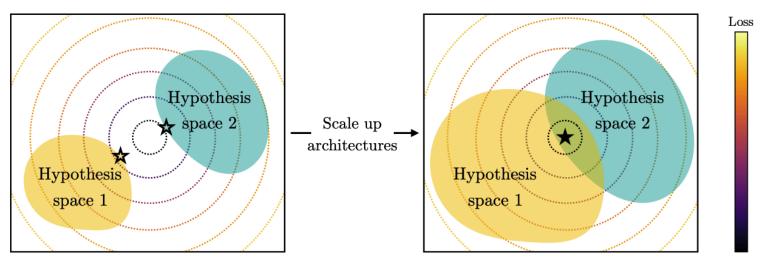


Figure 5. The Capacity Hypothesis: If an optimal representation exists in function space, larger hypothesis spaces are more likely to cover it. **LEFT:** Two small models might not cover the optimum and thus find *different* solutions (marked by outlined  $\stackrel{\star}{\Rightarrow}$ ). **RIGHT:** As the models become larger, they cover the optimum and converge to the same solution (marked by filled  $\stackrel{\star}{\Rightarrow}$ ).

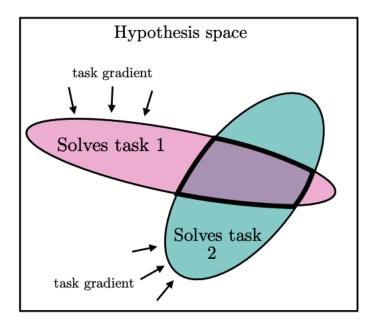


Figure 6. The Multitask Scaling Hypothesis: Models trained with an increasing number of tasks are subjected to pressure to learn a representation that can solve all the tasks.

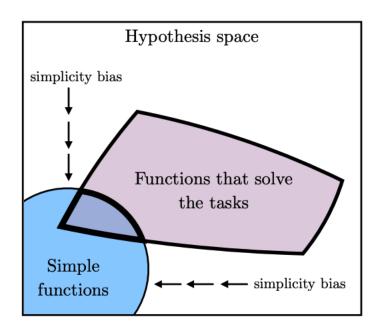


Figure 7. The Simplicity Bias Hypothesis: Larger models have larger coverage of all possible ways to fit the same data. However, the implicit simplicity biases of deep networks encourage larger models to find the simplest of these solutions.

#### Summary: Large Vision and Language Models

- Very active field of research, with a history as long as modern deep learning (2011 -)
- Foundation vision and language models have revolutionized the research paradigm post 2019.
- Trending towards larger model and dataset.
- Many active research on how to finetune / adapt VLMs with small amount of compute / data.
- The future is going to be multimodal.
- The representations are converging.